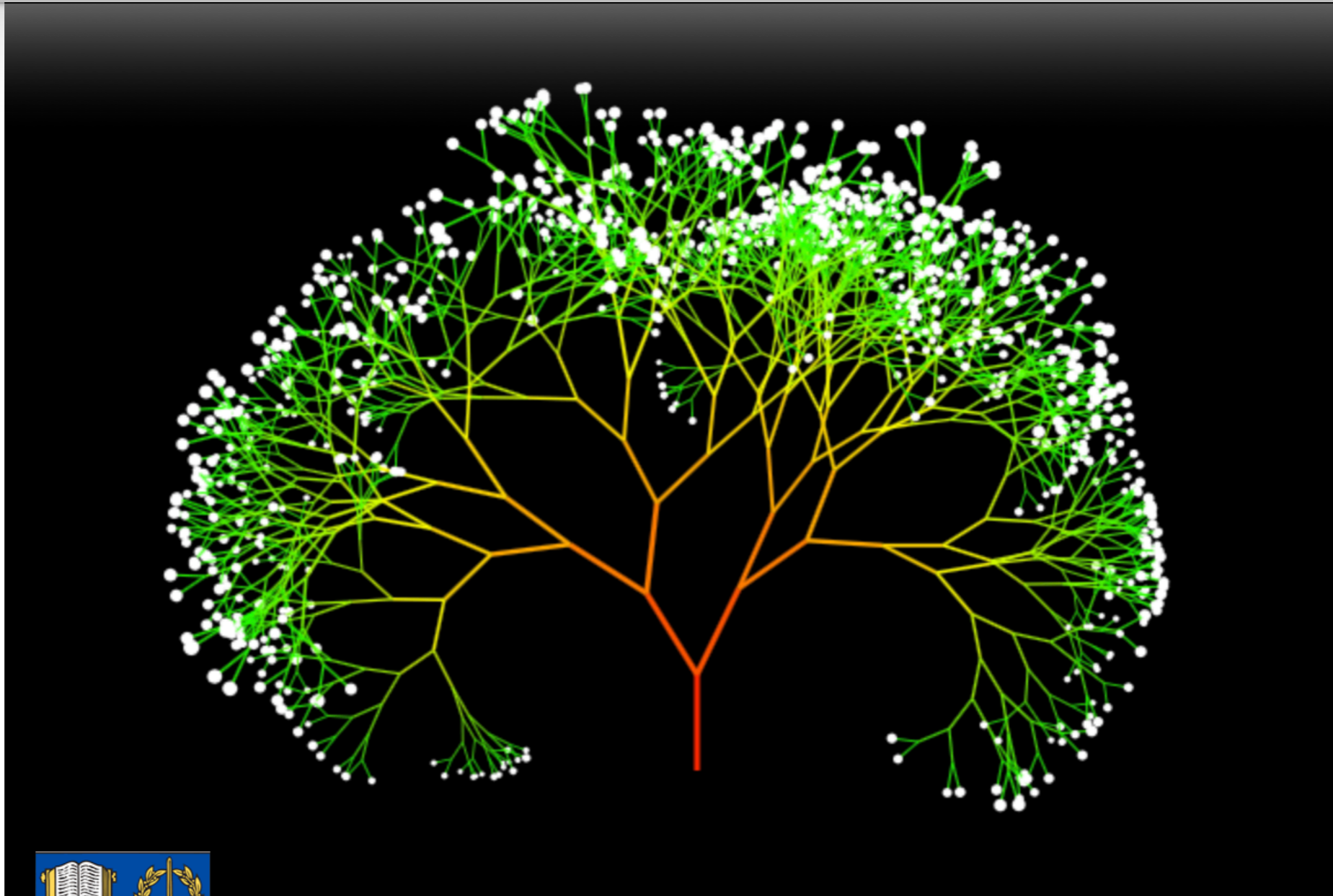


# Introduction to Event Generators

Lecture 2: Parton Showers



Peter Skands (Monash University)  
11th MCnet School, Lund 2017

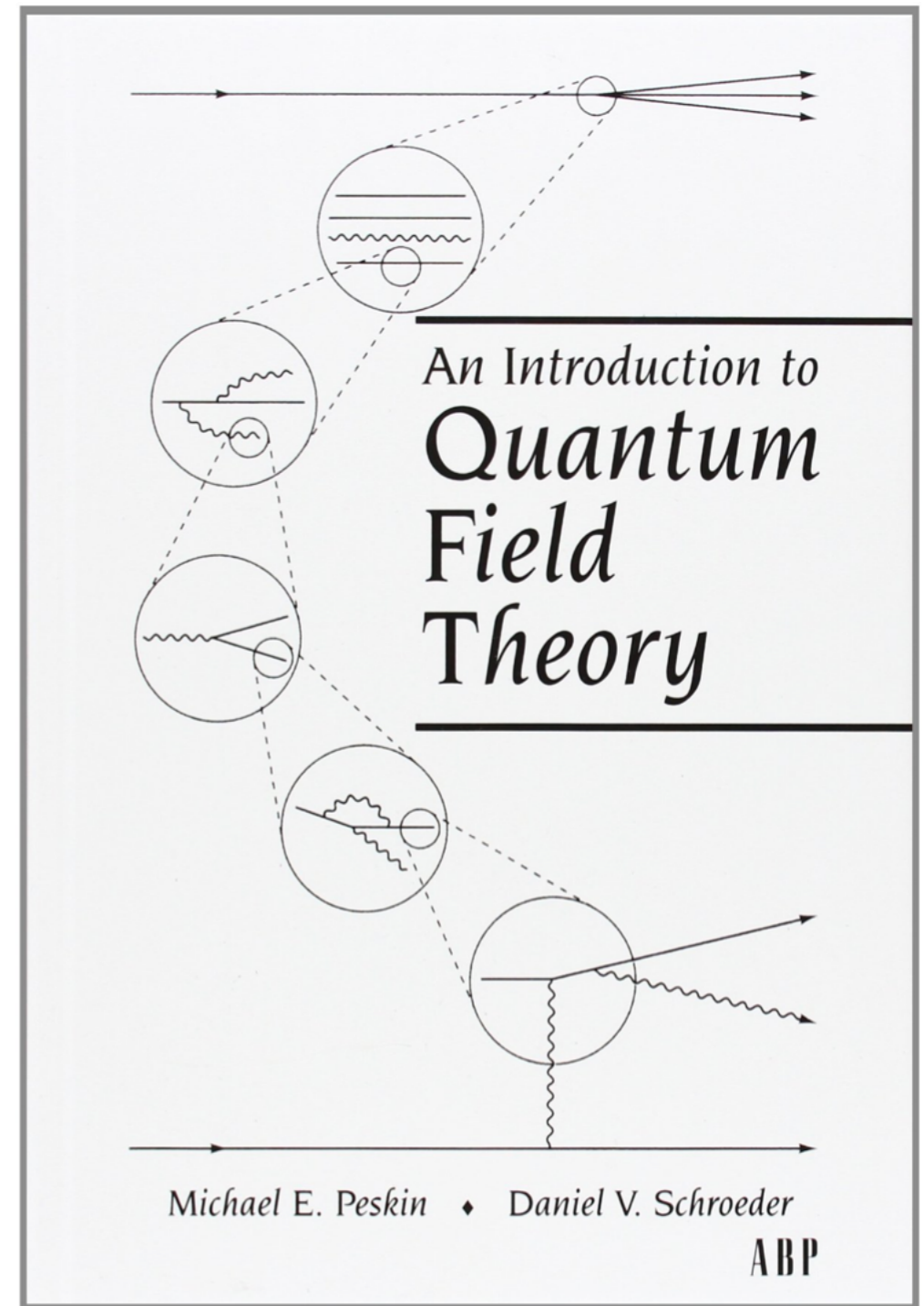
# RECAP: THE STRUCTURE OF QUANTUM FIELDS

What we actually see when we look at a "jet" (or inside a proton)

An ever-repeating self-similar pattern of quantum fluctuations

At increasingly smaller energies or distances : **scaling** (modulo  $\alpha_s(Q)$  scaling violation)

To our best knowledge, this is what a fundamental ('elementary') particle really looks like





# RECAP: THE STRUCTURE OF QUANTUM FIELDS

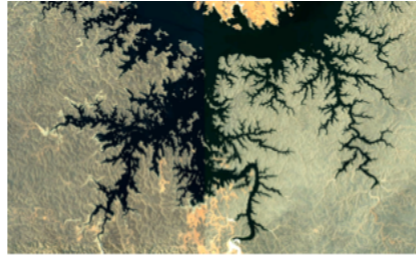
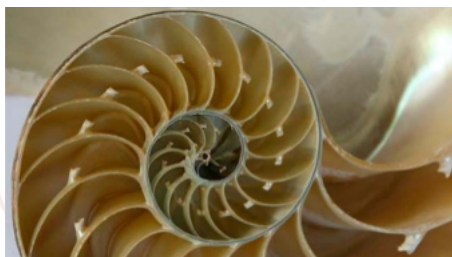
What we actually see when we look at a "jet" (or inside a proton)

An ever-repeating self-similar pattern of quantum fluctuations

At increasingly smaller energies or distances : **scaling** (modulo  $\alpha_s(Q)$  scaling violation)

To our best knowledge, this is what a fundamental ('elementary') particle really looks like

Nature makes copious use of such structures - **Fractals**



An Introduction to  
**Quantum  
Field  
Theory**

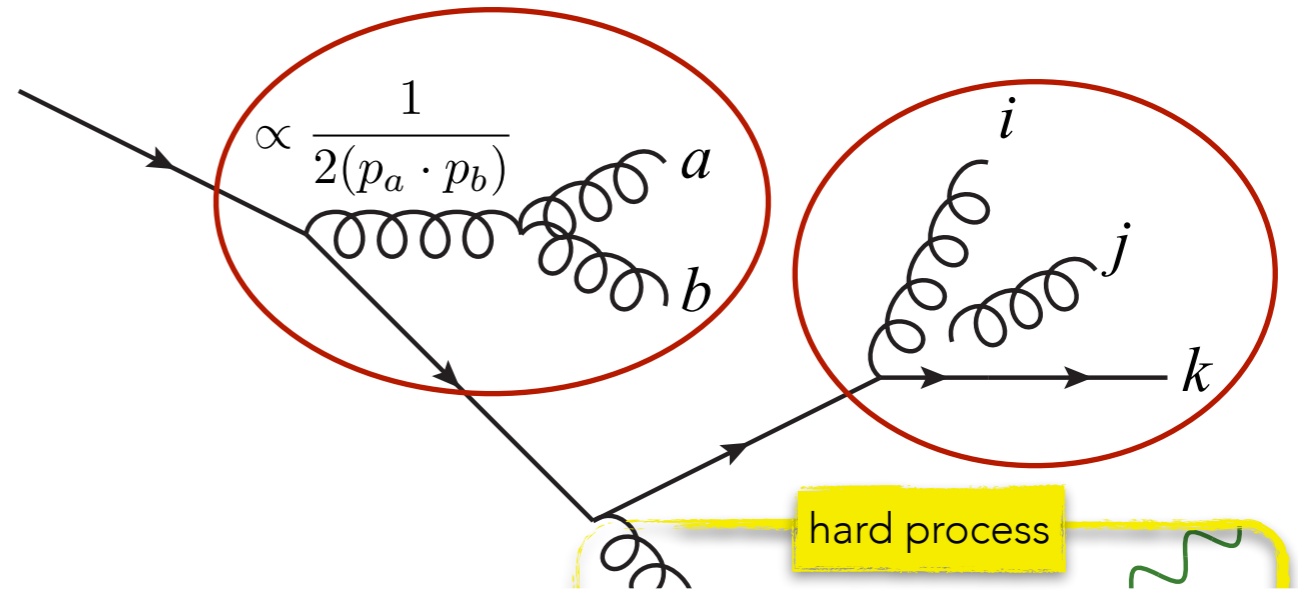
(this is not an elementary particle, but illustrates the principle)

# THE STRUCTURE OF JETS

Most bremsstrahlung is driven by **divergent propagators** → simple structure

Amplitudes **factorise** in singular limits (→ universal "scale-invariant" or "conformal" structure)

## Bremsstrahlung



Partons  $ab \rightarrow$   
"collinear":

$P(z) =$  DGLAP splitting kernels, with  $z =$  energy fraction  $= E_a/(E_a+E_b)$

$$|\mathcal{M}_{F+1}(\dots, a, b, \dots)|^2 \xrightarrow{a||b} g_s^2 C \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\dots, a + b, \dots)|^2$$

Gluon  $j \rightarrow$  "soft":

Coherence  $\rightarrow$  Parton  $j$  really emitted by  $(i,k)$  "colour antenna"

$$|\mathcal{M}_{F+1}(\dots, i, j, k, \dots)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 C \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$$

+ scaling **violation**:  $g_s^2 \rightarrow 4\pi\alpha_s(Q^2)$

Can apply this many times  
→ nested factorizations



# SCALING QCD, IN ACTION

Naively, QCD radiation suppressed by  $\alpha_s \approx 0.1$

→ Truncate at fixed order = LO, NLO, ...

But beware the jet-within-a-jet-within-a-jet ...

**Example:** 100 GeV can be “soft” at the LHC

SUSY pair production at LHC<sub>14</sub>, with  $M_{\text{SUSY}} \approx 600$  GeV

LHC - sps1a -  $m \sim 600$  GeV

Plehn, Rainwater, PS PLB645(2007)217

FIXED ORDER pQCD	$\sigma_{\text{tot}}$ [pb]	$\tilde{g}\tilde{g}$	$\tilde{u}_L\tilde{g}$	$\tilde{u}_L\tilde{u}_L^*$	$\tilde{u}_L\tilde{u}_L$	$TT$
$p_{T,j} > 100$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
inclusive X + 1 “jet”	$\sigma_{1j}$	2.89	2.74	0.136	0.145	0.73
inclusive X + 2 “jets”	$\sigma_{2j}$	1.09	0.85	0.049	0.039	0.26

$\sigma$  for X + jets much larger than naive factor- $\alpha_s$  estimate

$p_{T,j} > 50$ GeV	$\sigma_{0j}$	4.83	5.65	0.286	0.502	1.30
	$\sigma_{1j}$	5.90	5.37	0.283	0.285	1.50
	$\sigma_{2j}$	4.17	3.18	0.179	0.117	1.21

$\sigma$  for 50 GeV jets  $\approx$  larger than total cross section  
→ what is going on?

(Computed with SUSY-MadGraph)

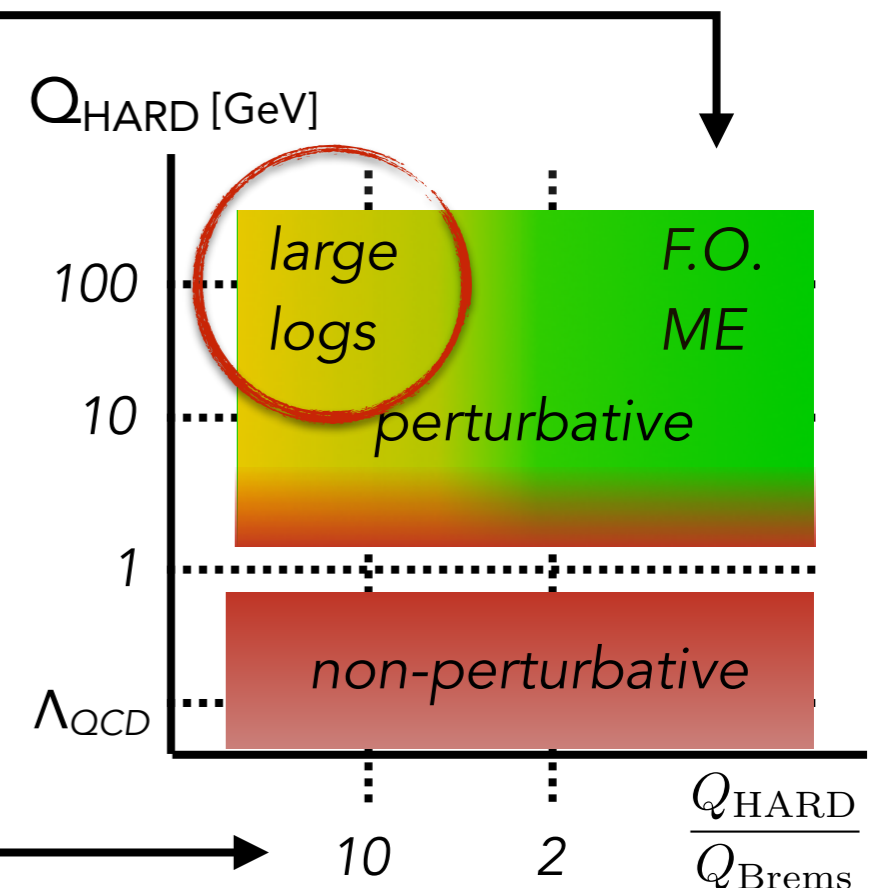
All the scales are high,  $Q \gg 1$  GeV, so perturbation theory **should** be OK

# RECAP: APROPOS FACTORISATION

Why are Fixed-Order QCD matrix elements not enough?

F.O. QCD requires **Large scales** ( $\alpha_s$  small enough to be perturbative  $\rightarrow$  high-scale processes)

F.O. QCD also requires **No hierarchies**  
Bremsstrahlung poles  $\propto 1/Q^2$  integrated over phase space  $\propto dQ^2 \rightarrow$  logarithms  
 $\rightarrow$  large if upper and lower integration limits are hierarchically different



# PARTON SHOWERS

So it's not like you can put a cut at  $X$  (e.g., 50, or even 100) GeV and say: "ok, now fixed-order matrix elements will be OK"

**Harder Processes are Accompanied by Harder Jets**

The hard scale  $Q_{\text{HARD}}$  of your process will "start off" the fractal

Sooner or later you **will** resolve bremsstrahlung structure (when  $Q_{\text{Resolved}}/Q_{\text{HARD}} \ll 1$ )

**Extra radiation:**

Will generate corrections to your kinematics

Is an unavoidable aspect of the quantum description of quarks and gluons (no such thing as a "bare" quark or gluon; they *always* depend on how you look at them)

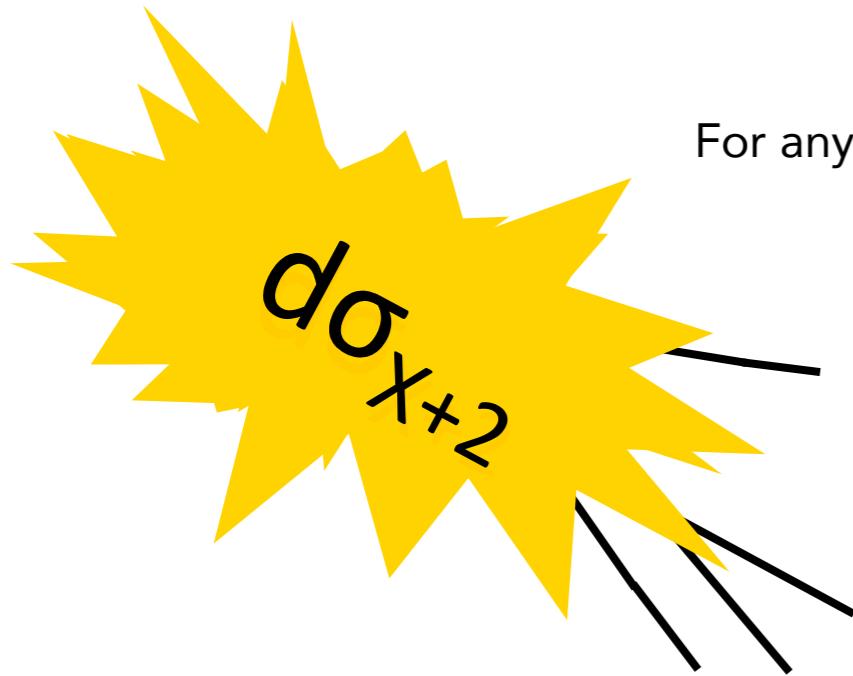
Extra jets from bremsstrahlung can be important combinatorial background especially if you are looking for decay jets of similar  $p_T$  scales (often,  $\Delta M \ll M$ )

**This is what parton showers are for**





# BREMSSTRAHLUNG



For any basic process  $d\sigma_X = \checkmark$  (calculated process by process)

$$d\sigma_{X+1} \sim N_C 2g_s^2 \frac{ds_{i1}}{s_{i1}} \frac{ds_{1j}}{s_{1j}} d\sigma_X \quad \checkmark$$

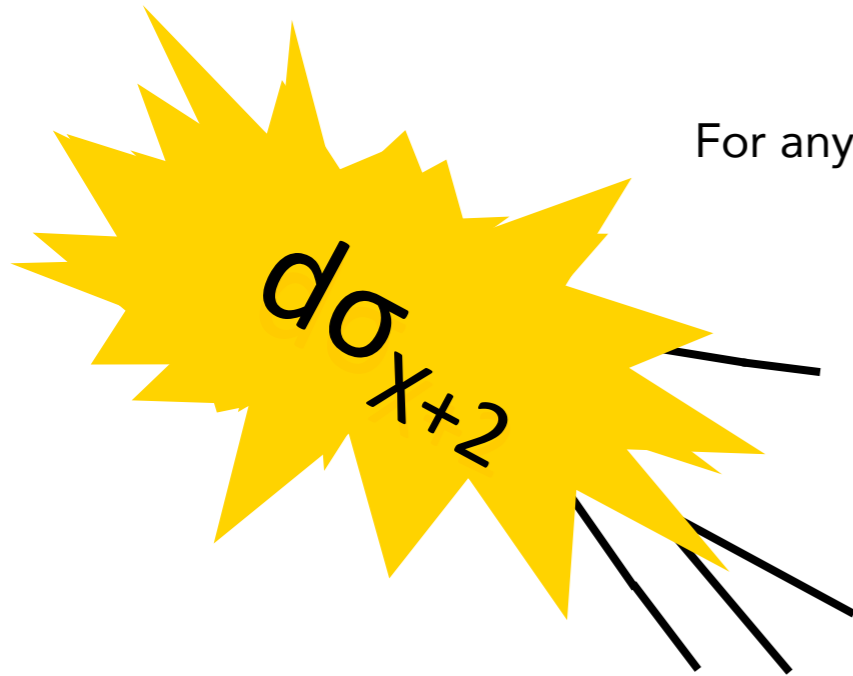
$$d\sigma_{X+2} \sim N_C 2g_s^2 \frac{ds_{i2}}{s_{i2}} \frac{ds_{2j}}{s_{2j}} d\sigma_{X+1} \quad \checkmark$$

$$d\sigma_{X+3} \sim N_C 2g_s^2 \frac{ds_{i3}}{s_{i3}} \frac{ds_{3j}}{s_{3j}} d\sigma_{X+2} \quad \dots$$

NB: here just iterating a single eikonal emission; should really sum over all emitters.

Could also have built an approximation from iterating collinear emissions (DGLAP)

# BREMSSTRAHLUNG



For any basic process  $d\sigma_X = \checkmark$  (calculated process by process)

$$d\sigma_{X+1} \sim N_C 2g_s^2 \frac{ds_{i1}}{s_{i1}} \frac{ds_{1j}}{s_{1j}} d\sigma_X \quad \checkmark$$

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NB: here just iterating a single eikonal emission; should really sum over all emitters.

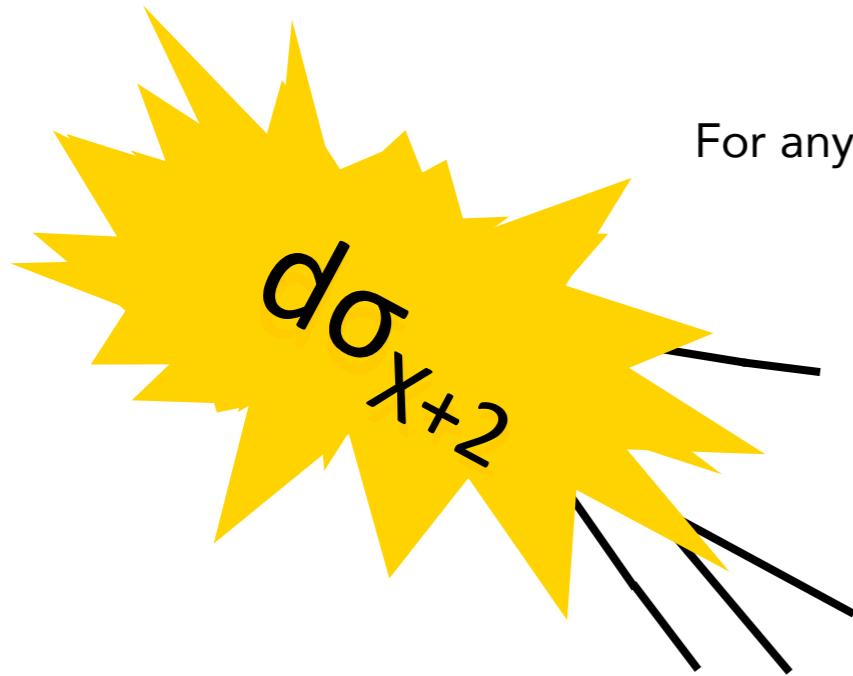
Could also have built an approximation from iterating collinear emissions (DGLAP)

**Singularities:** universal (mandated by gauge theory)  
**Non-singular terms:** process-dependent

$$\frac{|\mathcal{M}(Z^0 \rightarrow q_i g_j \bar{q}_k)|^2}{|\mathcal{M}(Z^0 \rightarrow q_I \bar{q}_K)|^2} = g_s^2 2C_F \left[ \overset{\text{"SOFT"}}{\frac{2s_{ik}}{s_{ij}s_{jk}}} + \frac{1}{s_{IK}} \left( \overset{\text{"COLLINEAR"}}{\frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}}} \right) \right]$$

$$\frac{|\mathcal{M}(H^0 \rightarrow q_i g_j \bar{q}_k)|^2}{|\mathcal{M}(H^0 \rightarrow q_I \bar{q}_K)|^2} = g_s^2 2C_F \left[ \underset{\text{"SOFT"}}{\frac{2s_{ik}}{s_{ij}s_{jk}}} + \frac{1}{s_{IK}} \left( \underset{\text{"COLLINEAR"}}{\frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}}} + 2 \right) \right]$$

# BREMSSTRAHLUNG



For any basic process  $d\sigma_X = \checkmark$  (calculated process by process)

$$d\sigma_{X+1} \sim N_C 2g_s^2 \frac{ds_{i1}}{s_{i1}} \frac{ds_{1j}}{s_{1j}} d\sigma_X \quad \checkmark$$

$$d\sigma_{X+2} \sim N_C 2g_s^2 \frac{ds_{i2}}{s_{i2}} \frac{ds_{2j}}{s_{2j}} d\sigma_{X+1} \quad \checkmark$$

$$d\sigma_{X+3} \sim N_C 2g_s^2 \frac{ds_{i3}}{s_{i3}} \frac{ds_{3j}}{s_{3j}} d\sigma_{X+2} \quad \dots$$

## Iterated factorization

Gives us a universal approximation to  $\infty$ -order tree-level cross sections.

Exact in singular (strongly ordered) limit.

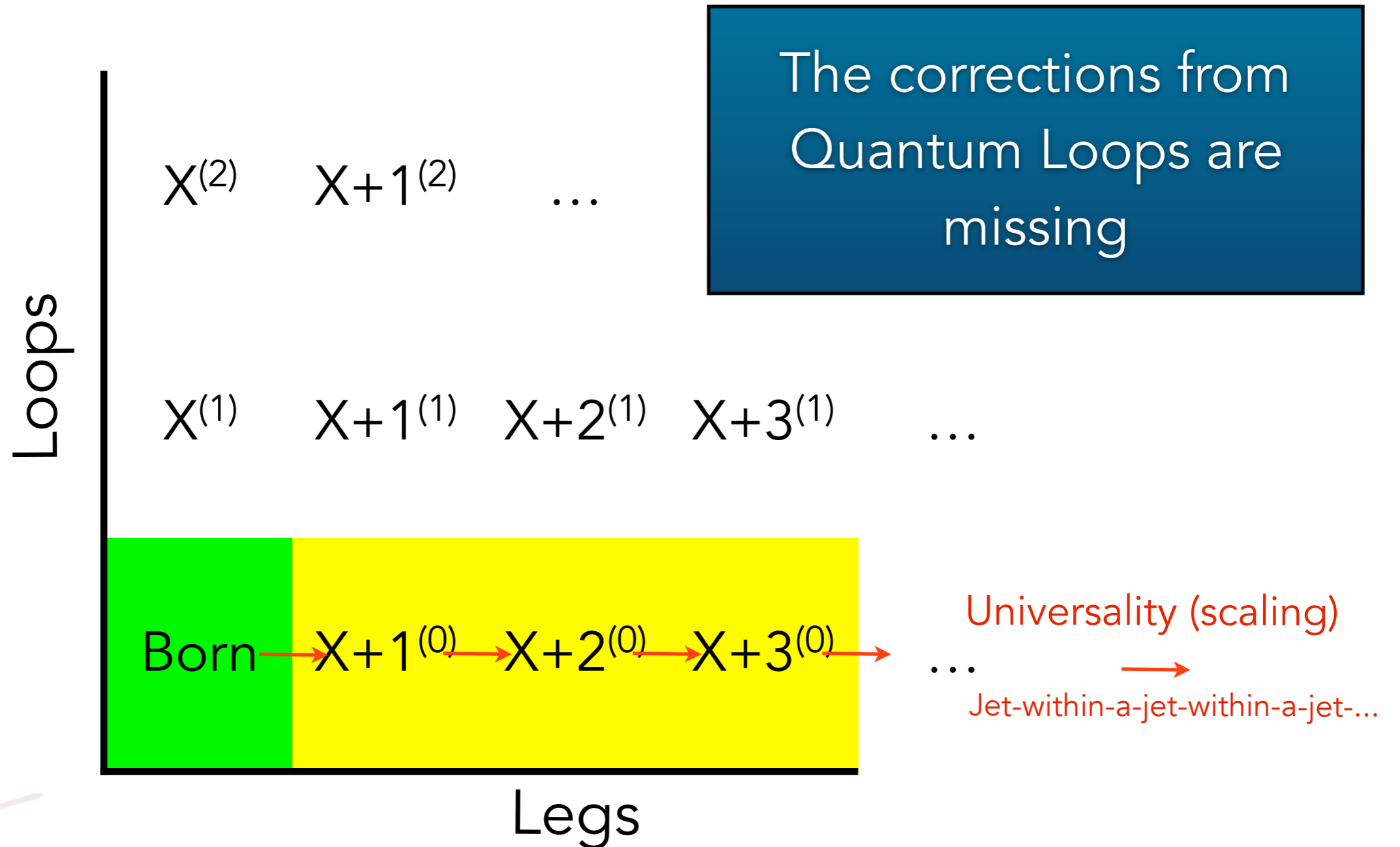
Non-singular terms (non-universal)  $\rightarrow$  Uncertainties for hard radiation

But something is not right ... Total  $\sigma$  would be infinite ...



# LOOPS AND LEGS

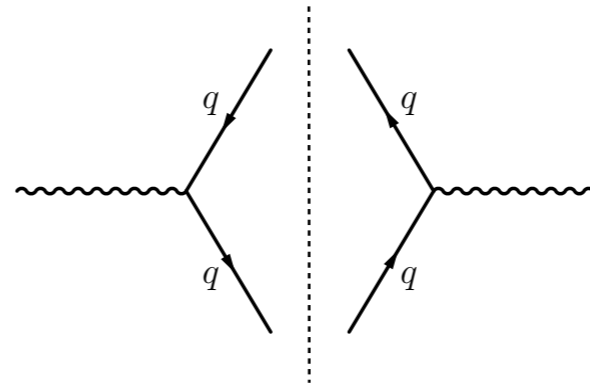
## Coefficients of the Perturbative Series



# RECAP: ADDING JETS AT FIXED ORDER

## Born @ LO

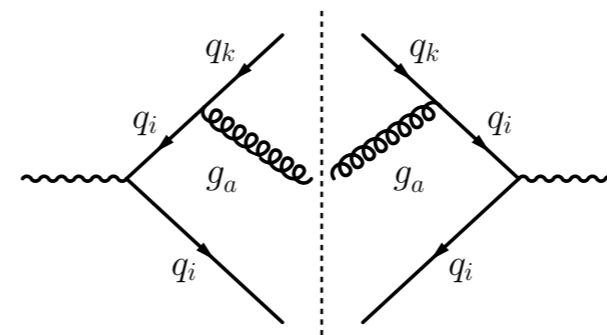
$$\sigma_{\text{Born}} = \int |M_X^{(0)}|^2$$



	$X^{(2)}$	$X+1^{(2)}$	...
	$X^{(1)}$	$X+1^{(1)}$	...
Born	$X+1^{(0)}$	$X+2^{(0)}$	

## Born + n @ LO

$$\sigma_{X+1}^{\text{LO}}(R) = \int_R |M_{X+1}^{(0)}|^2$$



	$X^{(2)}$	$X+1^{(2)}$	...
$X^{(1)}$	$X+1^{(1)}$	...	
Born	$X+1^{(0)}$	$X+2^{(0)}$	

$$\frac{|M_{X+1}|^2}{|M_X|^2} \propto g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} \right) \right]$$

Divergent (when  $s_{ij}$  and/or  $s_{jk} \rightarrow 0$ ): Integral  $\rightarrow$  **Logarithms**

$\Rightarrow R =$  some **"Infrared Safe"** phase space region (E.g., cut on  $p_{\perp}$ ,  $\Delta R$ )

$\rightarrow$  Lecture 3

**Careful not to take it too low!**



# UNITARITY (AT NLO)

NLO:

$$\sigma_X^{\text{NLO}} = \int |M_X^{(0)}|^2 + \int |M_{X+1}^{(0)}|^2 + \int 2\text{Re}[M_X^{(1)} M_X^{(0)*}]$$

IR singularities

(from poles of propagators going on shell when integrating to  $Q^2 \rightarrow 0$ )

IR singularities

(from poles of propagators going on shell when integrating over gluon virtuality)

$X^{(2)}$	$X+1^{(2)}$	...
$X^{(1)}$	$X+1^{(1)}$	...
Born	$X+1^{(0)}$	$X+2^{(0)}$

In IR limits, the  $X+1$  final state is indistinguishable from an  $X+0$  one  
 → singularities must always\* sum together (& they cancel!)

example:

$$\sigma_{\text{NLO}}(e^+e^- \rightarrow q\bar{q}) = \sigma_{\text{LO}}(e^+e^- \rightarrow q\bar{q}) \left( 1 + \frac{\alpha_s(E_{\text{CM}})}{\pi} + \mathcal{O}(\alpha_s^2) \right)$$

Sum of real and virtual  $\mathcal{O}(\alpha_s)$  nonsingular;  
 no IR regulator dependence

\*) for so-called IR safe observables; discussed in Lecture 3



# UNITARITY → EVOLUTION (RESUMMATION)

Probability for nothing to happen (~virtual + unresolved-real) + Probability for something to happen (~ resolved real) = 1

Unitarity:  $\text{sum}(\text{probability}) = 1$

Kinoshita-Lee-Nauenberg

(sum over degenerate quantum states = finite; infinities must cancel)

$2\text{Re}[\mathcal{M}^{(1)}\mathcal{M}^{(0)*}] \text{ Loop} = - \int \text{Tree} + F \leftarrow |\mathcal{M}_{+1}^{(0)}|^2$

Parton Showers neglect  $F \rightarrow$  "Leading-Logarithmic" (LL) Approximation

Imposed by Event evolution: "detailed balance"

When (X) branches to (X+1): **Gain** one (X+1). **Loose** one (X).

Differential equation with evolution kernel  $\frac{d\sigma_{X+1}}{d\sigma_X}$   
 (or, typically, a soft/collinear approximation thereof)

Evolve in some measure of resolution  $\sim$  hardness,  $1/\text{time} \dots \sim$  fractal scale

+ account for scaling violation via quark masses and  $g_s^2 \rightarrow 4\pi\alpha_s(Q^2)$

→ includes both real (tree) and virtual (loop) corrections, to arbitrary order

# EVOLUTION ~ FINE-GRAINING

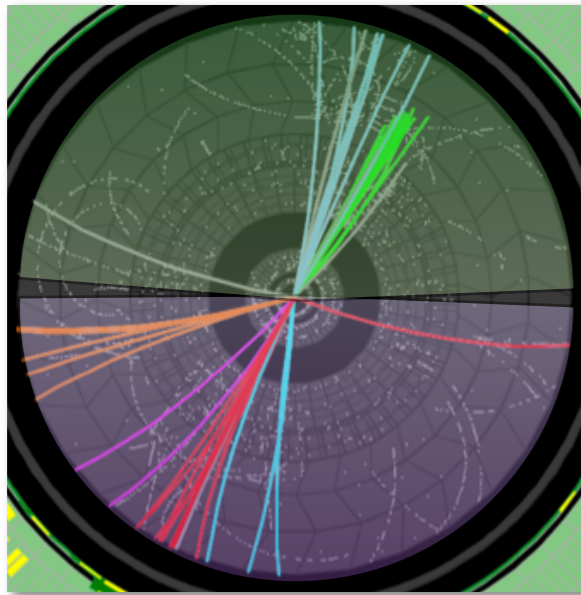
(E.g., starting from QCD  $2 \rightarrow 2$ )

$$Q \sim Q_{\text{HARD}}$$

$$Q_{\text{HARD}}/Q < \text{"A few"}$$

$$Q \ll Q_{\text{HARD}}$$

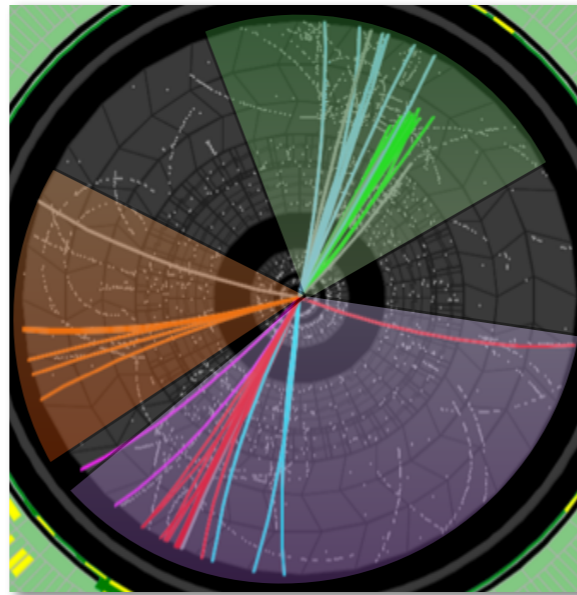
Scale Hierarchy!



At most inclusive level  
"Everything is 2 jets"

Fixed order:

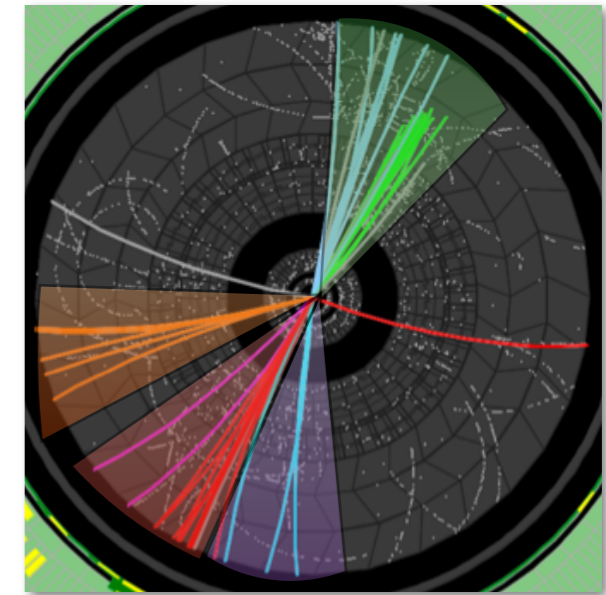
$$\sigma_{\text{inclusive}}$$



At (slightly) finer resolutions,  
some events have 3, or 4 jets

Fixed order:

$$\sigma_{X+n} \sim \alpha_s^n \sigma_X$$



At high resolution, most  
events have  $>2$  jets

Fixed order **diverges:**  

$$\sigma_{X+n} \sim \alpha_s^n \ln^{2n}(Q/Q_{\text{HARD}}) \sigma_X$$

Unitarity: **Reinterpret** as *number of emissions diverging*, while cross section remains  $\sigma_{\text{inclusive}}$

# EVOLUTION EQUATIONS

## What we need is a **differential equation**

Boundary condition: a few partons defined at a high scale ( $Q_F$ )

Then evolves (or "runs") that parton system down to a low scale (the hadronization cutoff  $\sim 1$  GeV)  $\rightarrow$  It's an evolution equation in  $Q_F$

## Close analogue: **nuclear decay**

Evolve an unstable nucleus. Check if it decays + follow chains of decays.

Decay constant

$$\frac{dP(t)}{dt} = c_N$$

Probability to remain undecayed in the time interval  $[t_1, t_2]$

$$\begin{aligned}\Delta(t_1, t_2) &= \exp\left(-\int_{t_1}^{t_2} c_N dt\right) = \exp(-c_N \Delta t) \\ &= 1 - c_N \Delta t + \mathcal{O}(c_N^2)\end{aligned}$$

Decay probability per unit time

$$\frac{dP_{\text{res}}(t)}{dt} = \frac{-d\Delta}{dt} = c_N \Delta(t_1, t)$$

(respects that each of the original nuclei can only decay if not decayed already)

$\Delta(t_1, t_2)$  : "Sudakov Factor"

# THE SUDAKOV FACTOR

In nuclear decay, the Sudakov factor counts:

How many nuclei remain undecayed after a time  $t$

Probability to remain undecayed in the time interval  $[t_1, t_2]$

$$\Delta(t_1, t_2) = \exp\left(-\int_{t_1}^{t_2} c_N dt\right) = \exp(-c_N \Delta t)$$

The Sudakov factor for a parton system "counts":

The probability that the parton system doesn't evolve (branch) when we run the factorization scale ( $\sim 1/\text{time}$ ) from a high to a low scale

(i.e., that there is no state change)

Evolution probability per unit "time"

$$\frac{dP_{\text{res}}(t)}{dt} = \frac{-d\Delta}{dt} = c_N \Delta(t_1, t)$$

(replace  $t$  by shower evolution scale)

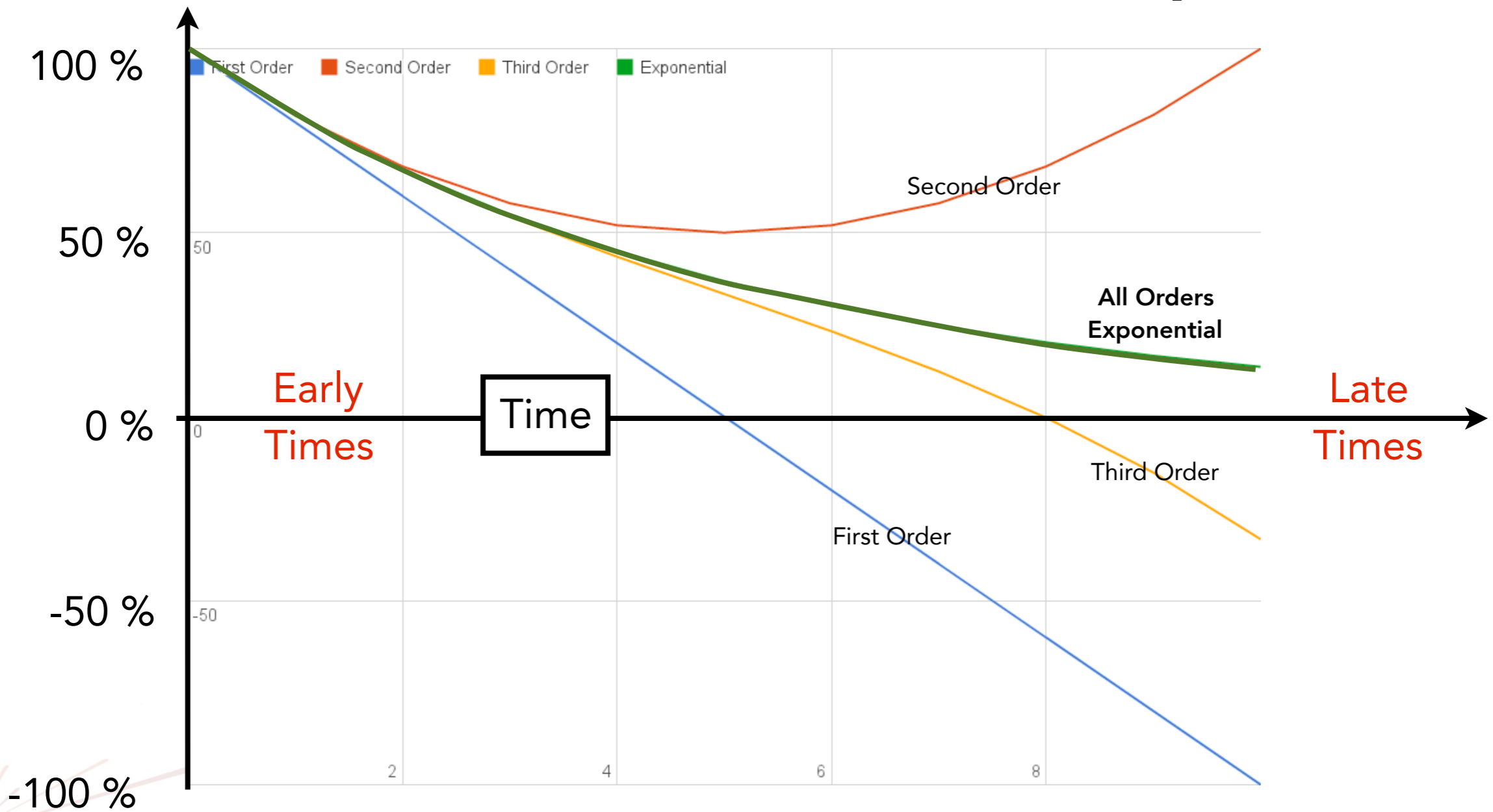
(replace  $c_N$  by proper shower evolution kernels)



# NUCLEAR DECAY

Nuclei remaining undecayed after time t

$$= \Delta(t_1, t_2) = \exp \left( - \int_{t_1}^{t_2} dt \frac{d\mathcal{P}}{dt} \right)$$



# A SHOWER ALGORITHM

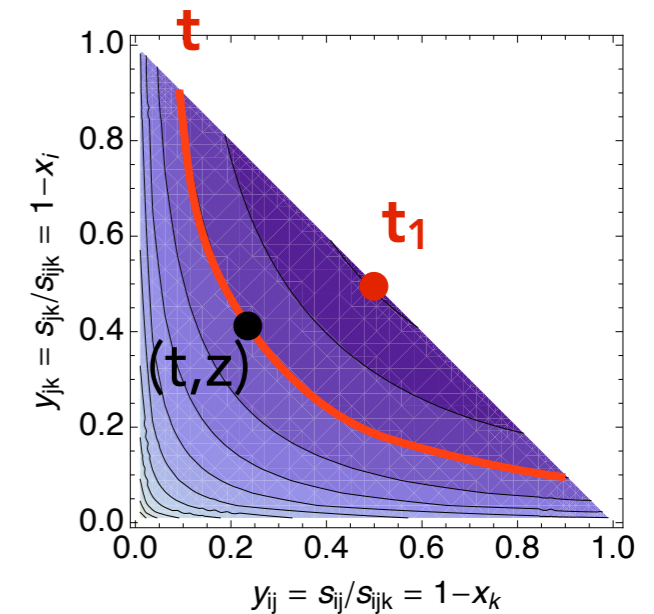
## 1. For each evolver, generate a random number $R \in [0,1]$

Solve equation  $R = \Delta(t_1, t)$  for  $t$  (with starting scale  $t_1$ )

Analytically for simple splitting kernels,

else numerically and/or by trial+veto

→  $t$  scale for next (trial) branching



## 2. Generate another Random Number, $R_z \in [0,1]$

To find second (linearly independent) phase-space invariant

Solve equation  $R_z = \frac{I_z(z, t)}{I_z(z_{\max}(t), t)}$  for  $z$  (at scale  $t$ )

With the "primitive function"  $I_z(z, t) = \int_{z_{\min}(t)}^z dz \left. \frac{d\Delta(t')}{dt'} \right|_{t'=t}$

## 3. Generate a third Random Number, $R_\varphi \in [0,1]$

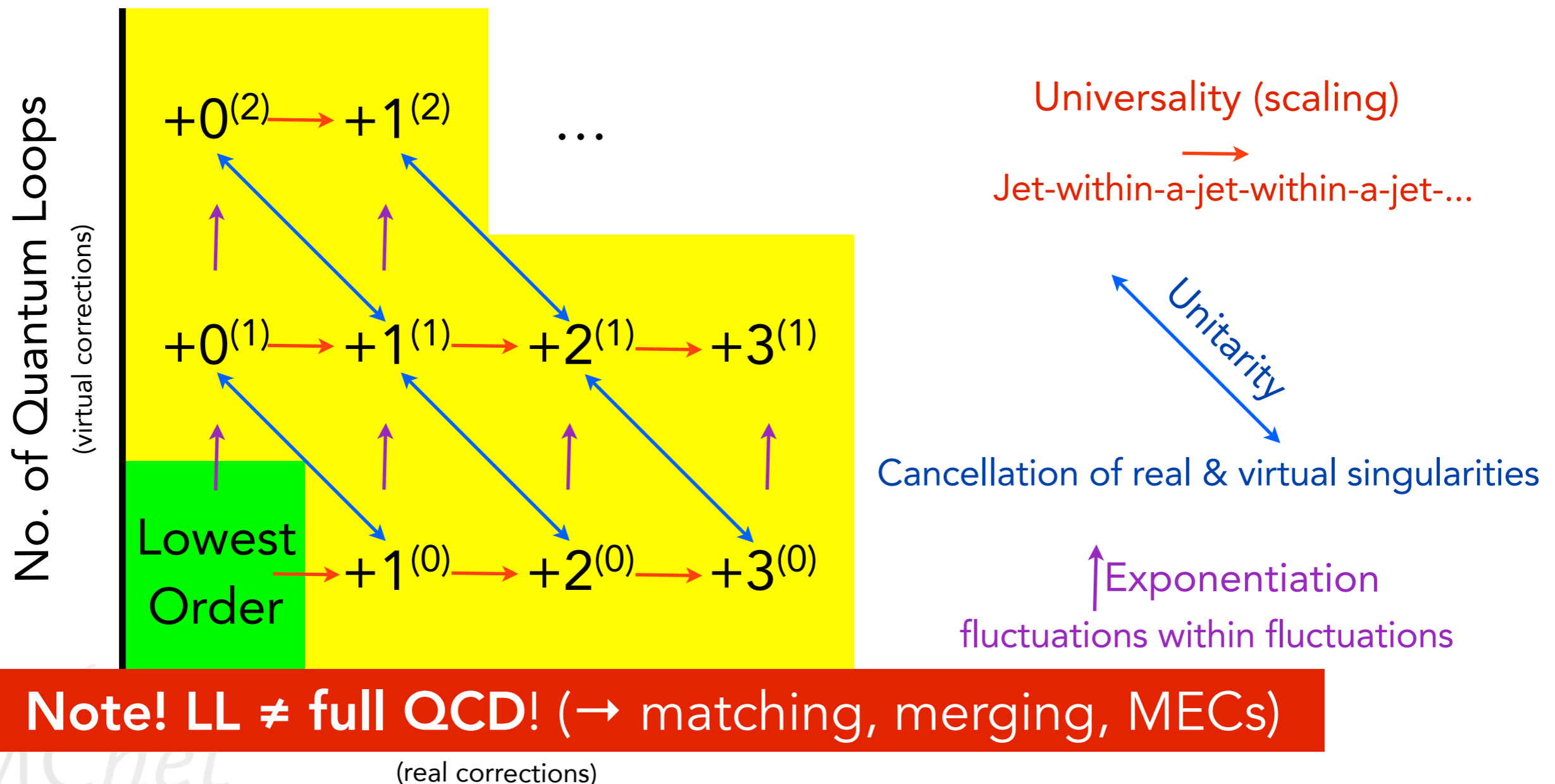
Solve equation  $R_\varphi = \varphi/2\pi$  for  $\varphi$  → Can now do 3D branching

Accept/Reject based on full kinematics. Update  $t_1 = t$ . Repeat.

# BOOTSTRAPPED PERTURBATION THEORY

Start from an **arbitrary lowest-order** process (green = QFT amplitude squared)

**Parton showers** generate the (LL) bremsstrahlung terms of the rest of the perturbative series (approximate infinite-order resummation)



# WHAT ARE THE EVOLUTION KERNELS?

Recall: **two universal (bremsstrahlung) limits:**

Collinear (DGLAP) Limit: two partons becoming parallel

Partons  $ab \rightarrow$   
"collinear":

$P(z)$  = DGLAP splitting kernels, with  $z$  = energy fraction =  $E_a/(E_a+E_b)$

$$|\mathcal{M}_{F+1}(\dots, a, b, \dots)|^2 \xrightarrow{a||b} g_s^2 \mathcal{C} \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\dots, a+b, \dots)|^2$$

Soft (eikonal) Limit: an emitted gluon having vanishing energy

Gluon  $j \rightarrow$  "soft":

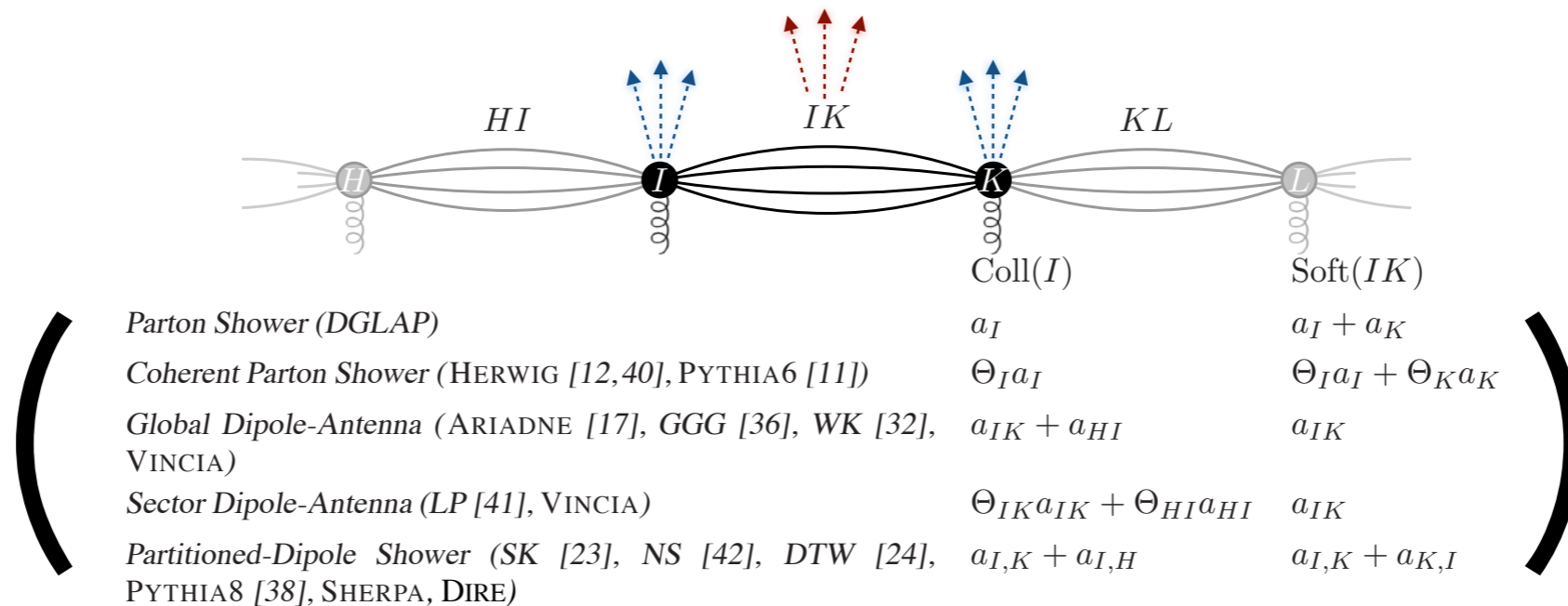
Coherence  $\rightarrow$  Parton  $j$  really emitted by  $(i,k)$  "colour antenna"

$$|\mathcal{M}_{F+1}(\dots, i, j, k, \dots)|^2 \xrightarrow{j_g \rightarrow 0} g_s^2 \mathcal{C} \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\dots, i, k, \dots)|^2$$

**$\rightarrow$  can build different types of parton showers  
(and, in general, different kinds of resummations)**



# TYPES OF PARTON SHOWERS



## Starting from collinear (parton) limit:

DGLAP evolution, collinear factorisation (MSbar PDFs)

“Conventional Parton Showers” : earliest shower models

Modified for correct soft limits: **angular ordering\*** (or vetos), **(CS) Dipole showers**

Herwig

Pythia

Herwig CS, Sherpa CS, Dire

\*angular ordering → coherence only in an averaged sense; discussed later

## Starting from soft (dipole) limit:

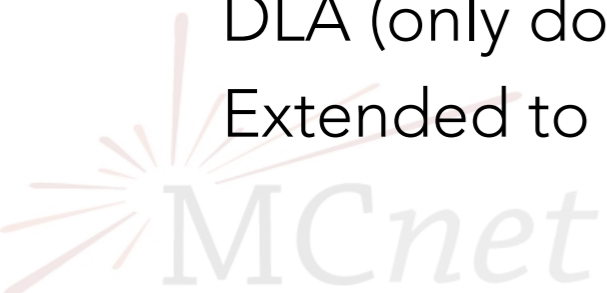
DLA (only double-pole piece), eikonal approximations

Extended to include DGLAP collinear limits: **(Lund) Dipole / Antenna showers**

related to HEJ

Ariadne

Vincia



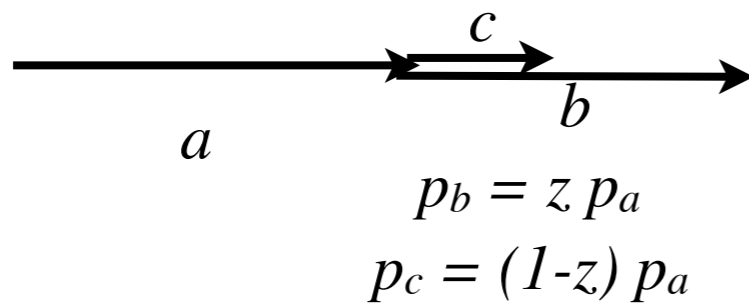
# EXAMPLE: DGLAP KERNELS

DGLAP: from *collinear limit of MEs*  $(p_b+p_c)^2 \rightarrow 0$

+ evolution equation from invariance with respect to  $Q_F \rightarrow$  RGE

DGLAP  
(E.g., PYTHIA)

$$d\mathcal{P}_a = \sum_{b,c} \frac{\alpha_{abc}}{2\pi} P_{a \rightarrow bc}(z) dt dz .$$



$$P_{q \rightarrow qg}(z) = C_F \frac{1+z^2}{1-z} ,$$

$$P_{g \rightarrow gg}(z) = N_C \frac{(1-z(1-z))^2}{z(1-z)} ,$$

$$P_{g \rightarrow q\bar{q}}(z) = T_R (z^2 + (1-z)^2) ,$$

$$P_{q \rightarrow q\gamma}(z) = e_q^2 \frac{1+z^2}{1-z} ,$$

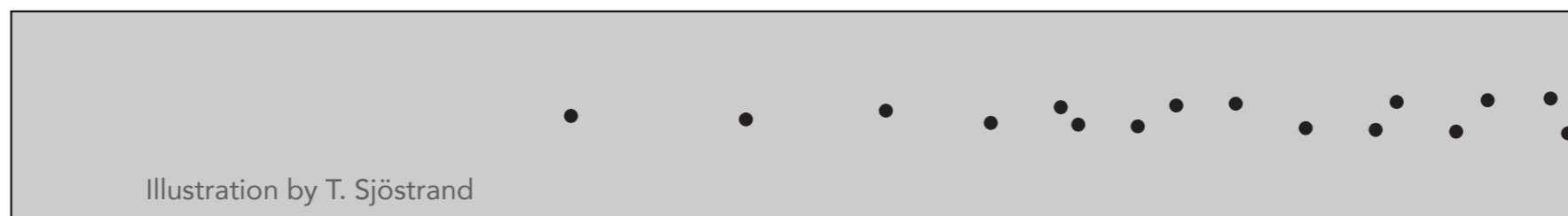
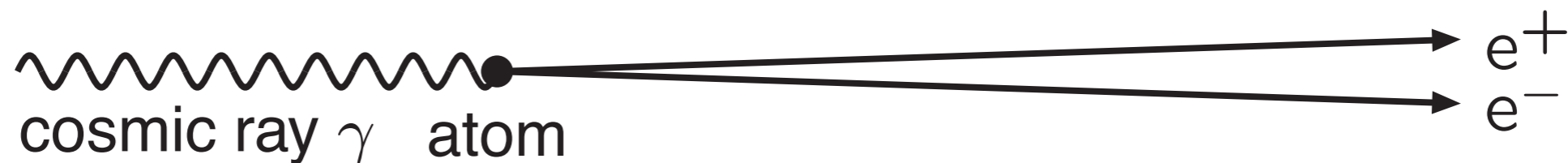
$$P_{l \rightarrow l\gamma}(z) = e_l^2 \frac{1+z^2}{1-z} ,$$

$$dt = \frac{dQ^2}{Q^2} = d \ln Q^2$$

... with  $Q^2$  some measure of "hardness"  
 = event/jet resolution  
 measuring parton virtualities / formation time / ...

# COHERENCE

## QED: Chudakov effect (mid-fifties)



emulsion plate

reduced  
ionization

normal  
ionization

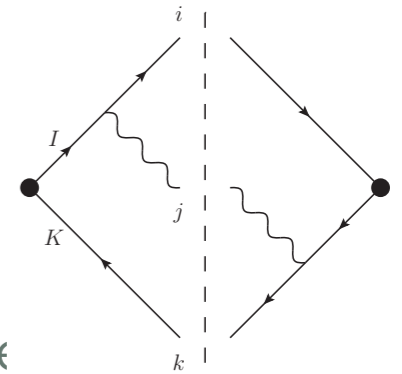
# DGLAP AND COHERENCE: ANGULAR ORDERING

## Physics: (applies to any gauge theory)

Interference between emissions from colour-connected partons (e.g.  $i$  and  $k$ )  $\rightarrow$  coherent **dipole** patterns

(More complicated multipole effects beyond leading colour; ignored here)

DGLAP kernels, though incoherent a priori, can reproduce this pattern (at least in an azimuthally averaged sense) by *angular ordering*



## Start from the M.E. factorisation formula in the *soft limit*

$$\frac{E_j^2 (p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} = \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} = \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} \pm \frac{1}{2(1 - \cos \theta_{ij})} \mp \frac{1}{2(1 - \cos \theta_{jk})}$$

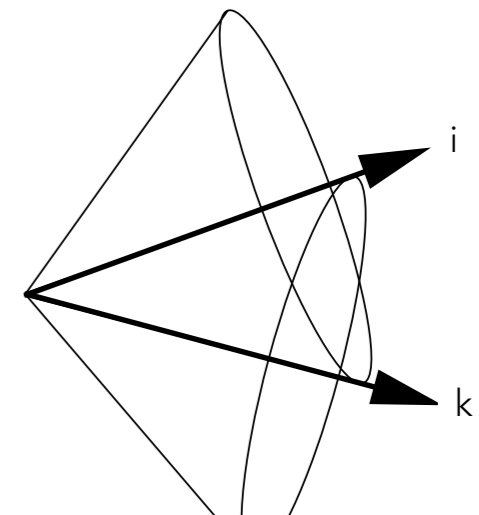
Soft Eikonal Factor                      (write out 4-products)                      Add and subtract  $1/(1-\cos\theta_{ij})$  and  $1/(1-\cos\theta_{jk})$  to isolate ij and jk collinear pieces

$$\int_0^{2\pi} \frac{d\varphi_{ij}}{4\pi} \left( \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right) = \frac{1}{2(1 - \cos \theta_{ij})} \left( 1 + \frac{\cos \theta_{ij} - \cos \theta_{ik}}{|\cos \theta_{ij} - \cos \theta_{ik}|} \right)$$

Take the ij piece and integrate over azimuthal angle  $d\varphi_{ij}$  (using explicit momentum representations)

$\Rightarrow$  Soft radiation averaged over  $\varphi_{ij}$ :  $\rightarrow \frac{1}{1 - \cos \theta_{ij}}$  if  $\theta_{ij} < \theta_{ik}$ ; **otherwise 0**

kill radiation outside ik opening angle



**Note:** Dipole & antenna showers include this effect point by point in  $\varphi$  (without averaging)



# COHERENCE AT WORK IN QCD

Example taken from: Ritzmann, Kosower, PS, [PLB718 \(2013\) 1345](#)

## Example: quark-quark scattering in hadron collisions

Consider, for instance, scattering at  $45^\circ$

2 possible colour flows :

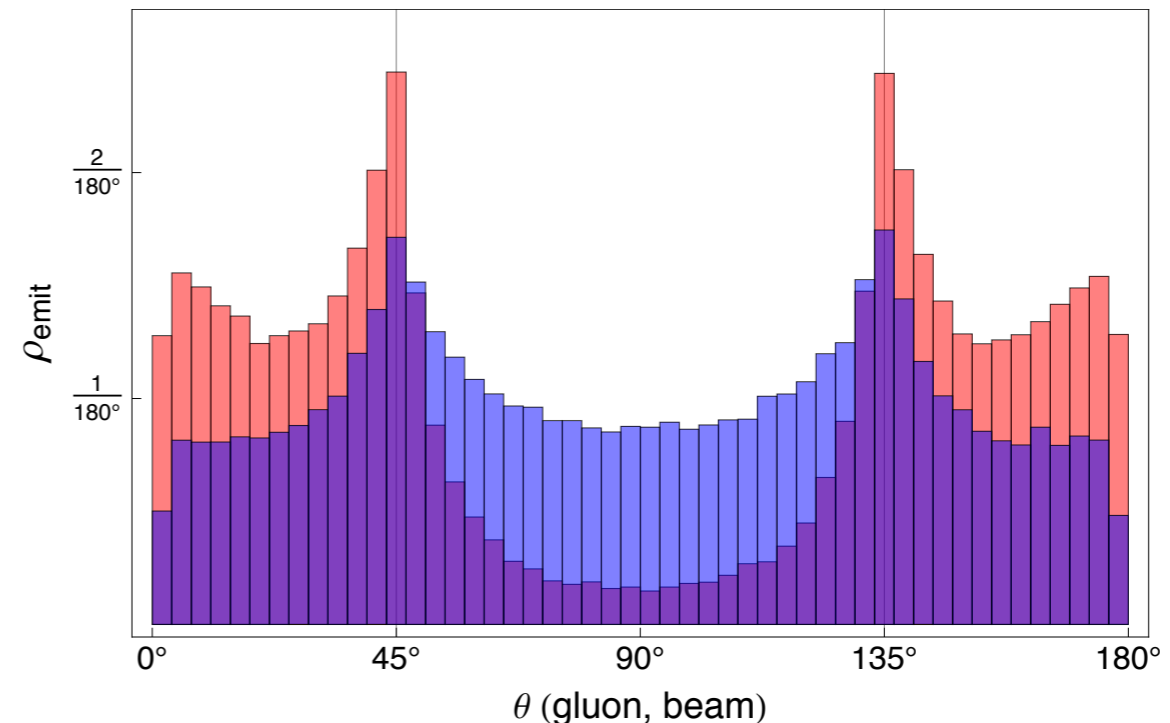
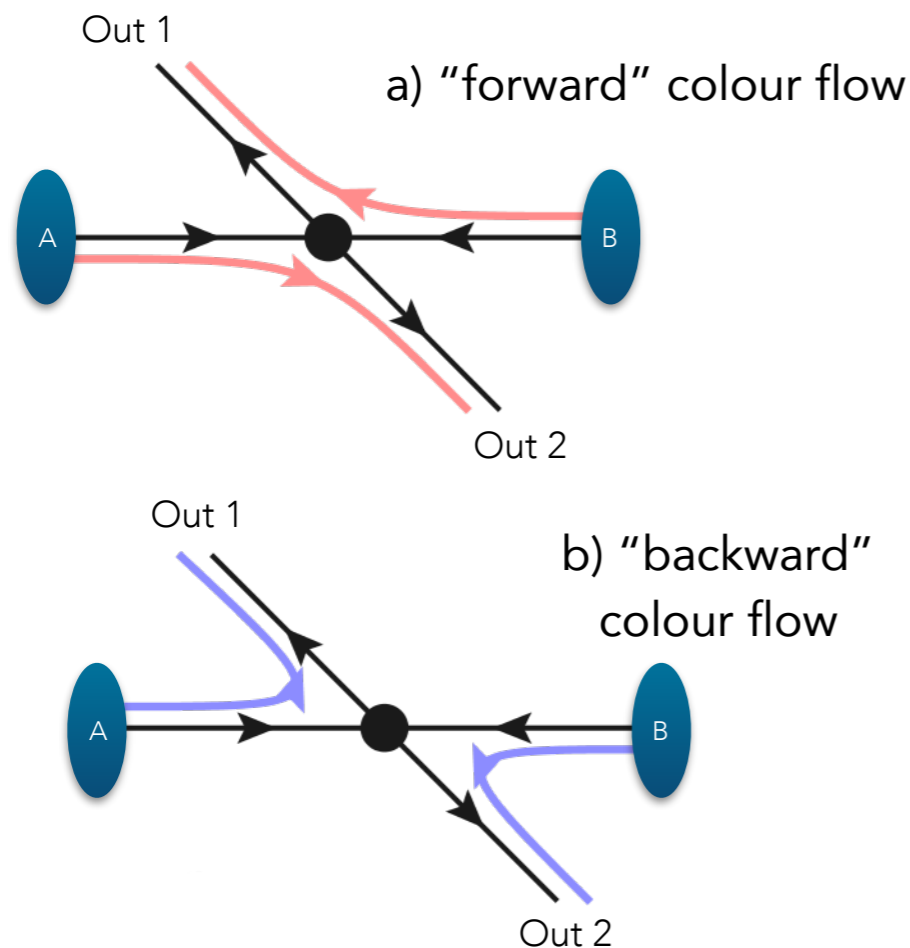
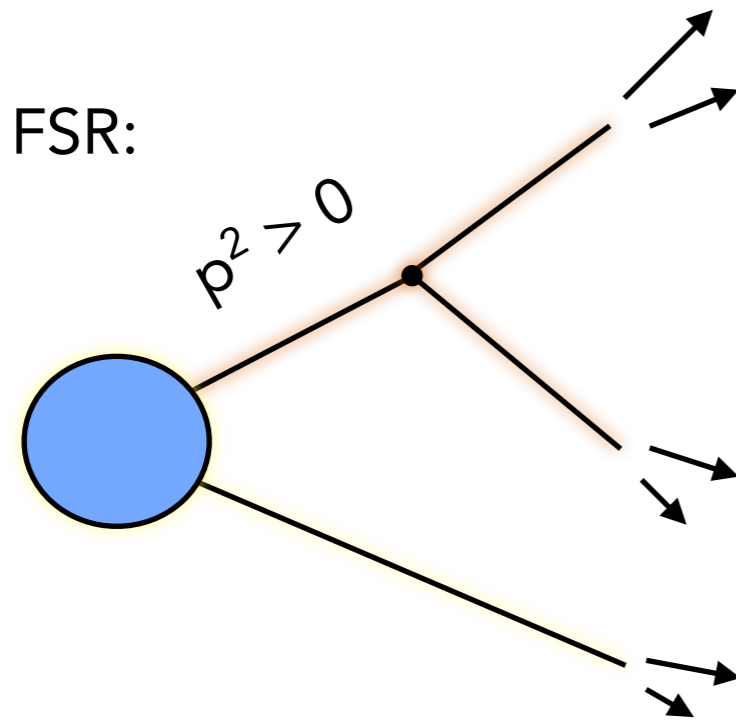


Figure 4: Angular distribution of the first gluon emission in  $qq \rightarrow qq$  scattering at  $45^\circ$ , for the two different color flows. The light (red) histogram shows the emission density for the forward flow, and the dark (blue) histogram shows the emission density for the backward flow.

Another nice physics example is the SM contribution to the Tevatron top-quark forward-backward asymmetry from coherent showers, see: PS, Webber, Winter, JHEP 1207 (2012) 151

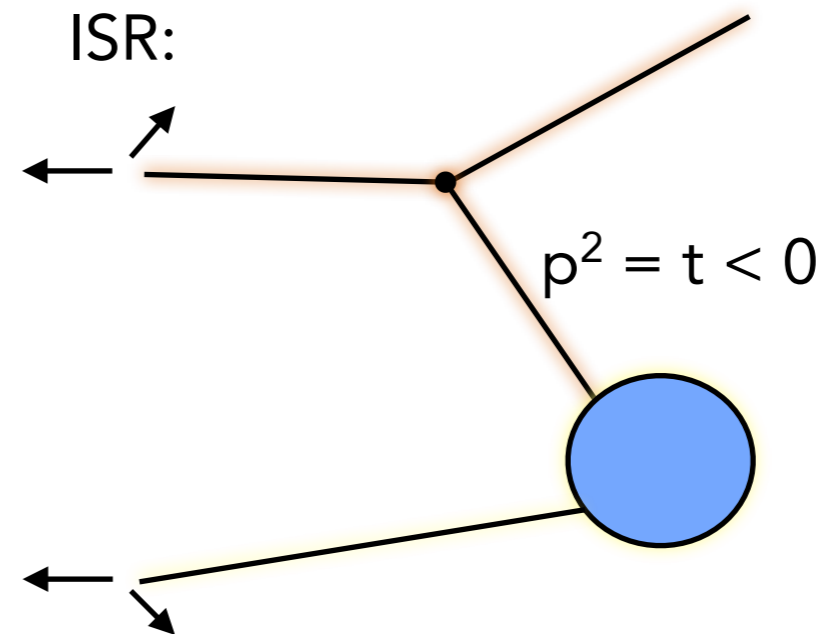


# INITIAL-STATE VS FINAL-STATE EVOLUTION



Virtualities are  
Timelike:  $p^2 > 0$

Start at  $Q^2 = Q_F^2$   
"Forwards evolution"



Virtualities are  
Spacelike:  $p^2 < 0$

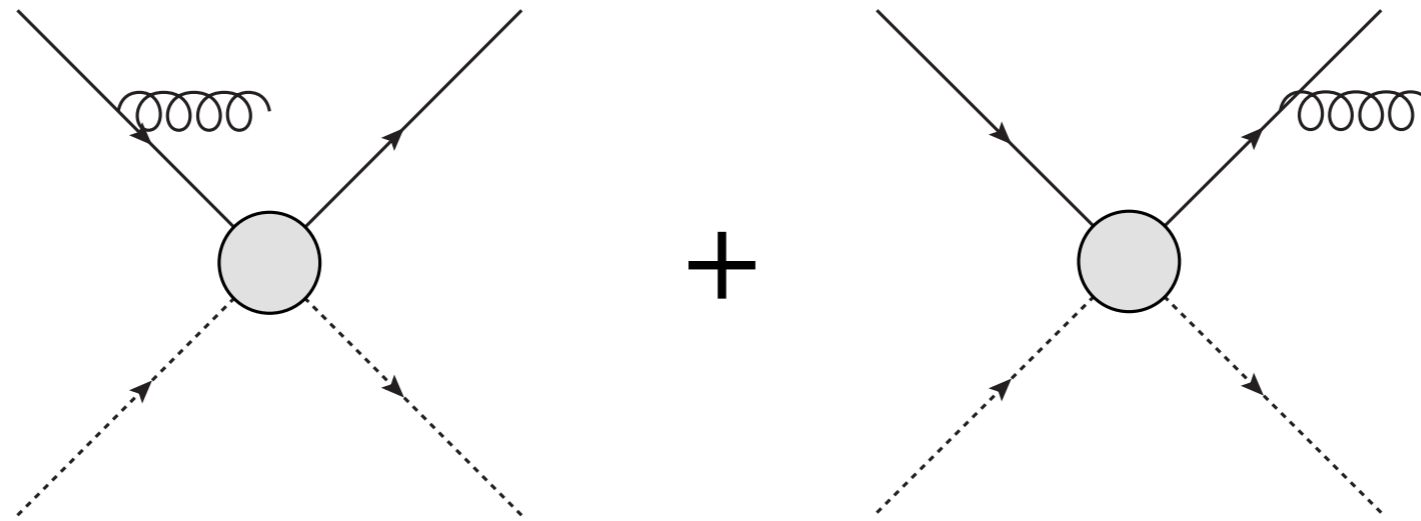
Start at  $Q^2 = Q_F^2$   
Constrained backwards evolution  
towards boundary condition = proton

Separation meaningful for collinear radiation, but not for soft ...

# INITIAL-FINAL INTERFERENCE

A tricky aspect for many parton showers. Illustrates that quantum  $\neq$  classical !

## Who emitted that gluon?



Real QFT = sum over amplitudes, then square  $\rightarrow$  interference (IF coherence)  
Respected by dipole/antenna languages (and by angular ordering, azimuthally averaged), but not by conventional DGLAP ( $\rightarrow$  all PDFs are "wrong")

Separation meaningful for collinear radiation, but not for soft ...

# PERTURBATIVE AMBIGUITIES

The final states generated by a shower algorithm will depend on

1. The choice of perturbative evolution variable(s)  $t^{[i]}$ . ← Ordering & Evolution-scale choices
2. The choice of phase-space mapping  $d\Phi_{n+1}^{[i]}/d\Phi_n$ . ← Recoils, kinematics
3. The choice of radiation functions  $a_i$ , as a function of the phase-space variables.
4. The choice of renormalization scale function  $\mu_R$ . ← Non-singular terms, Reparametrizations, Subleading Colour
5. Choices of starting and ending scales. ← Phase-space limits / suppressions for hard radiation and choice of hadronization scale

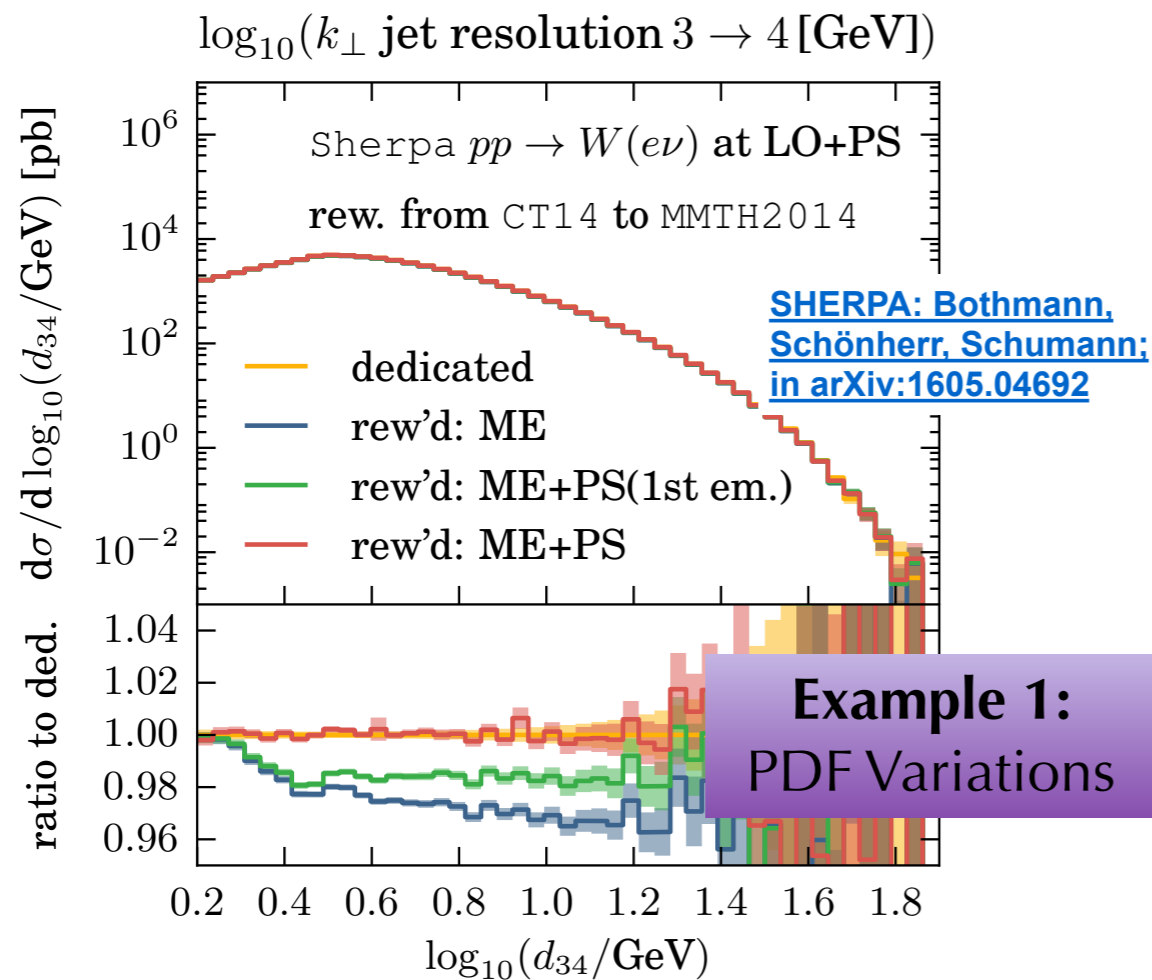
→ gives us additional handles for uncertainty estimates, beyond just  $\mu_R$   
(+ ambiguities can be reduced by including more pQCD → matching!)



# (ADVERTISEMENT: UNCERTAINTIES IN PARTON SHOWERS)

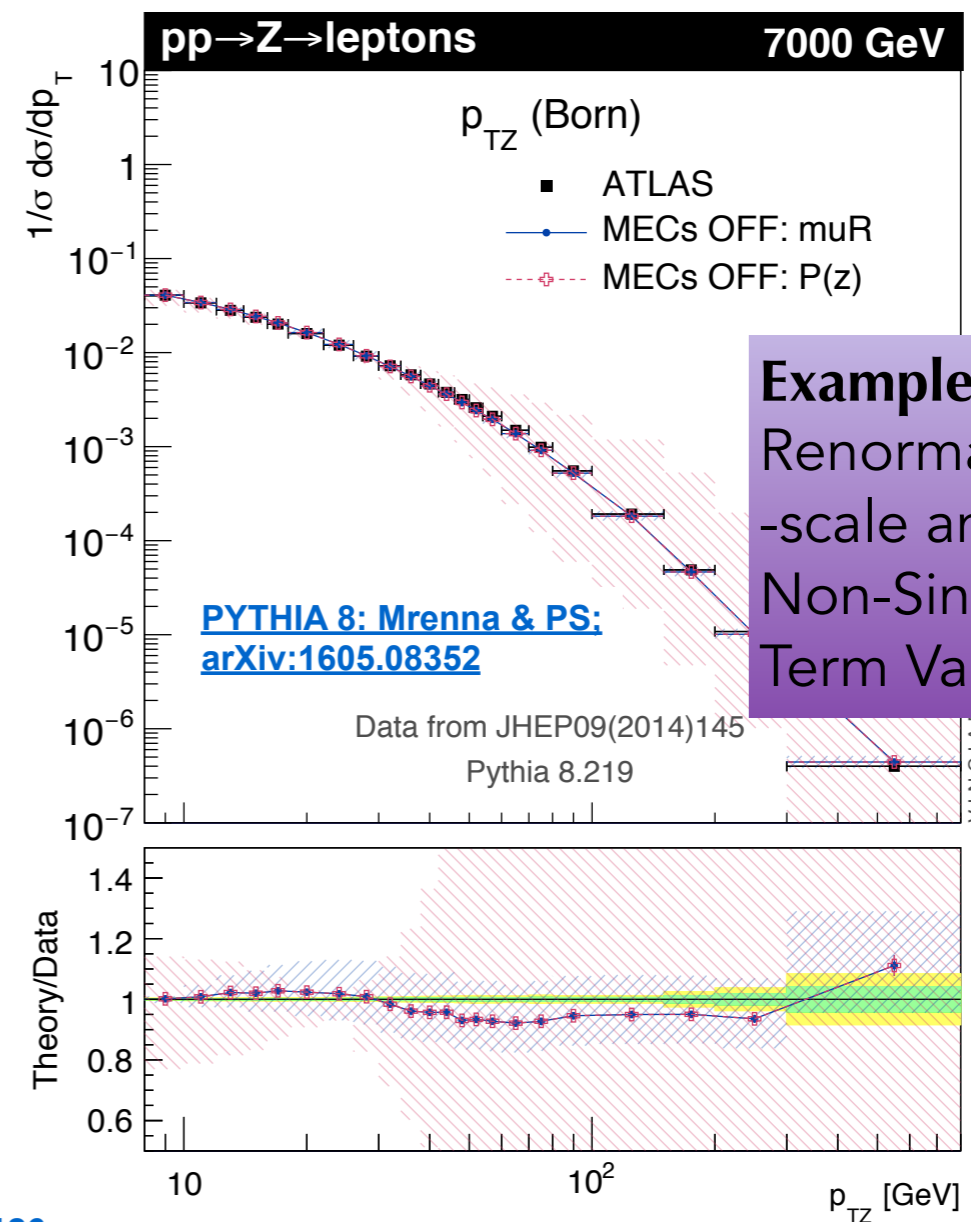
Recently, HERWIG, PYTHIA & SHERPA all published papers on automated calculations of shower uncertainties (based on tricks with the Sudakov algorithm)

Weight of event = { 1, 0.7, 1.2, ... }



See also HERWIG++ :  
[Bellm et al., arXiv:1605.08256](#)

VINCIA:  
[Giele, Kosower PS; arXiv:1102.2126](#)



Encouraged to start using those, and provide feedback

# SUMMARY: TWO WAYS TO COMPUTE QUANTUM CORRECTIONS

## **Fixed Order Paradigm:** consider a single physical process

Explicit solutions, process-by-process (to some extent automated)

Standard-Model: typically NLO or NNLO

Beyond-SM: typically LO or NLO

Accurate for hard process, to given perturbative order

Limited generality

## **Event Generators (Showers):** consider all physical processes

Universal solutions, applicable to any/all processes

Process-dependence = subleading correction ( $\rightarrow$  matrix-element corrections)

Maximum generality

Common property of all processes is, e.g., limits in which they factorise!

Accurate in strongly ordered (soft/collinear) limits (=bulk of radiation)



# From $\overline{\text{MS}}$ to MC

CMW Nucl Phys B 349 (1991) 635 : Drell-Yan and DIS processes

$$P(\alpha_s, z) = \frac{\alpha_s}{2\pi} C_F \overset{A^{(1)}}{\frac{1+z^2}{1-z}} + \left(\frac{\alpha_s}{\pi}\right)^2 \frac{A^{(2)}}{1-z}$$

Eg Analytic resummation (in Mellin space): General Structure

$$\propto \exp \left[ \int_0^1 dz \frac{z^{N-1} - 1}{1-z} \left[ \int \frac{dp_{\perp}^2}{p_{\perp}^2} (A(\alpha_s) + \overset{\text{for DIS}}{B(\alpha_s)}) \right] \right]$$

$$A(\alpha_s) = A^{(1)} \frac{\alpha_s}{\pi} + A^{(2)} \left(\frac{\alpha_s}{\pi}\right)^2 + \dots$$

$$B^{(1)} = -3C_F/2$$

$$A^{(2)} = \frac{1}{2} C_F \left( C_A \left( \frac{67}{18} - \frac{1}{6} \pi^2 \right) - \frac{5}{9} N_F \right) = \frac{1}{2} C_F K_{\text{CMW}}$$

Replace

(for  $z \rightarrow 1$ : soft gluon limit):

$$P_i(\alpha_s, z) = \frac{C_i \frac{\alpha_s}{\pi} \left( 1 + K_{\text{CMW}} \frac{\alpha_s}{2\pi} \right)}{1-z}$$

# From $\overline{\text{MS}}$ to MC

CMW Nucl Phys B 349 (1991) 635 : Drell-Yan and DIS processes

$$P(\alpha_s, z) = \frac{\alpha_s}{2\pi} C_F \overset{A^{(1)}}{\frac{1+z^2}{1-z}} + \left(\frac{\alpha_s}{\pi}\right)^2 \frac{A^{(2)}}{1-z}$$

Replace

(for  $z \rightarrow 1$ : soft gluon limit):

$$P_i(\alpha_s, z) = \frac{C_i \frac{\alpha_s}{\pi} \left(1 + K_{\text{CMW}} \frac{\alpha_s}{2\pi}\right)}{1-z}$$

$$\alpha_s^{(\text{MC})} = \alpha_s^{(\overline{\text{MS}})} \left(1 + K_{\text{CMW}} \frac{\alpha_s^{(\overline{\text{MS}})}}{2\pi}\right)$$

$$\Lambda_{\text{MC}} = \Lambda_{\overline{\text{MS}}} \exp\left(\frac{K_{\text{CMW}}}{4\pi\beta_0}\right) \sim 1.57 \Lambda_{\overline{\text{MS}}}$$

(for  $n_F=5$ )

Note also: used  $\mu^2 = p_T^2 = (1-z)Q^2$

Amati, Bassetto, Ciafaloni, Marchesini, Veneziano, 1980

**Main Point:**  
Doing an  
uncompensated  
scale variation  
actually ruins  
this result



# JACK OF ALL ORDERS, MASTER OF NONE?

## Nice to have all-orders solution

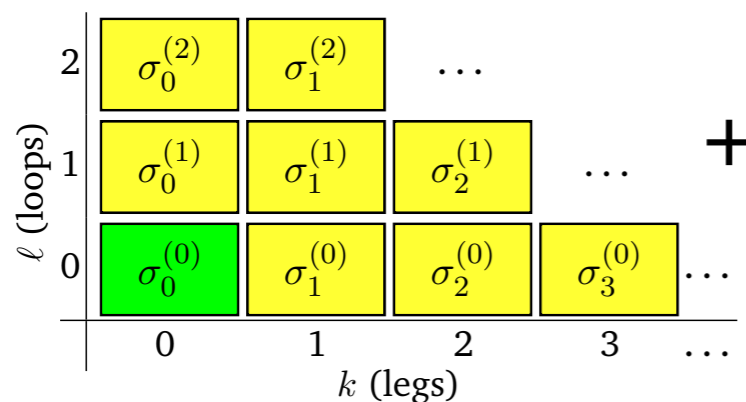
But it is only exact in the singular (soft & collinear) limits

→ gets the bulk of bremsstrahlung corrections right, but fails equally spectacularly: for hard wide-angle radiation: **visible, extra jets**

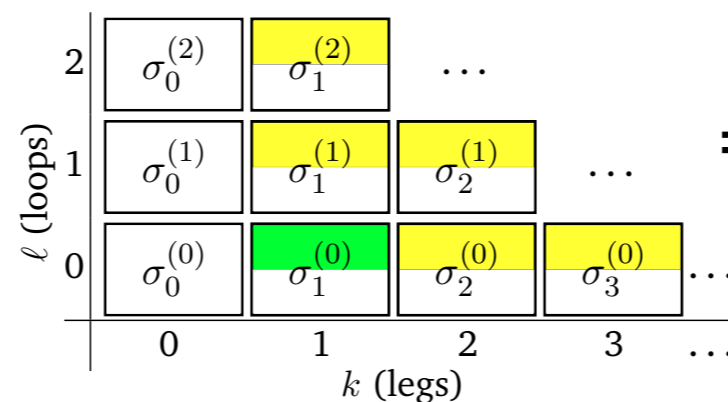
... which is exactly where fixed-order calculations work!

## So combine them!

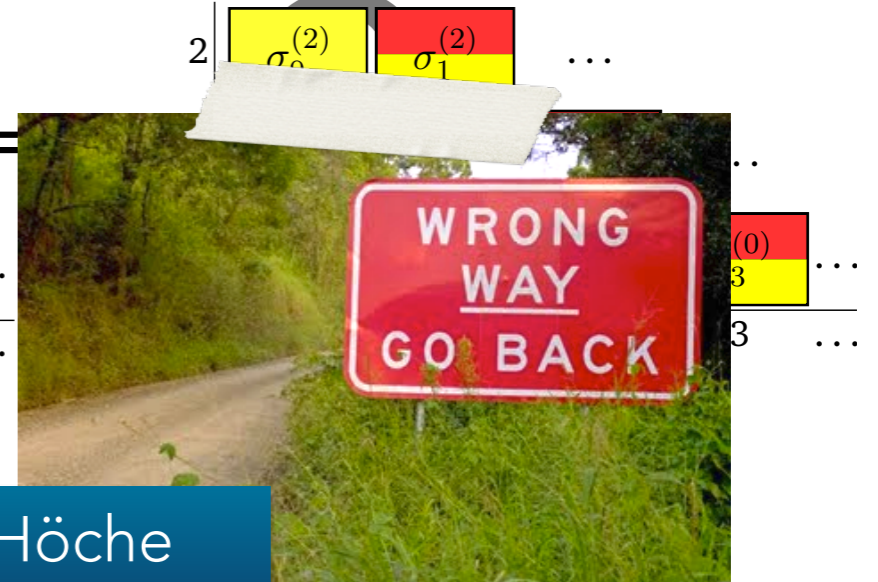
F @ LO×LL



F+1 @ LO×LL



F & F+1 @ LO×LL

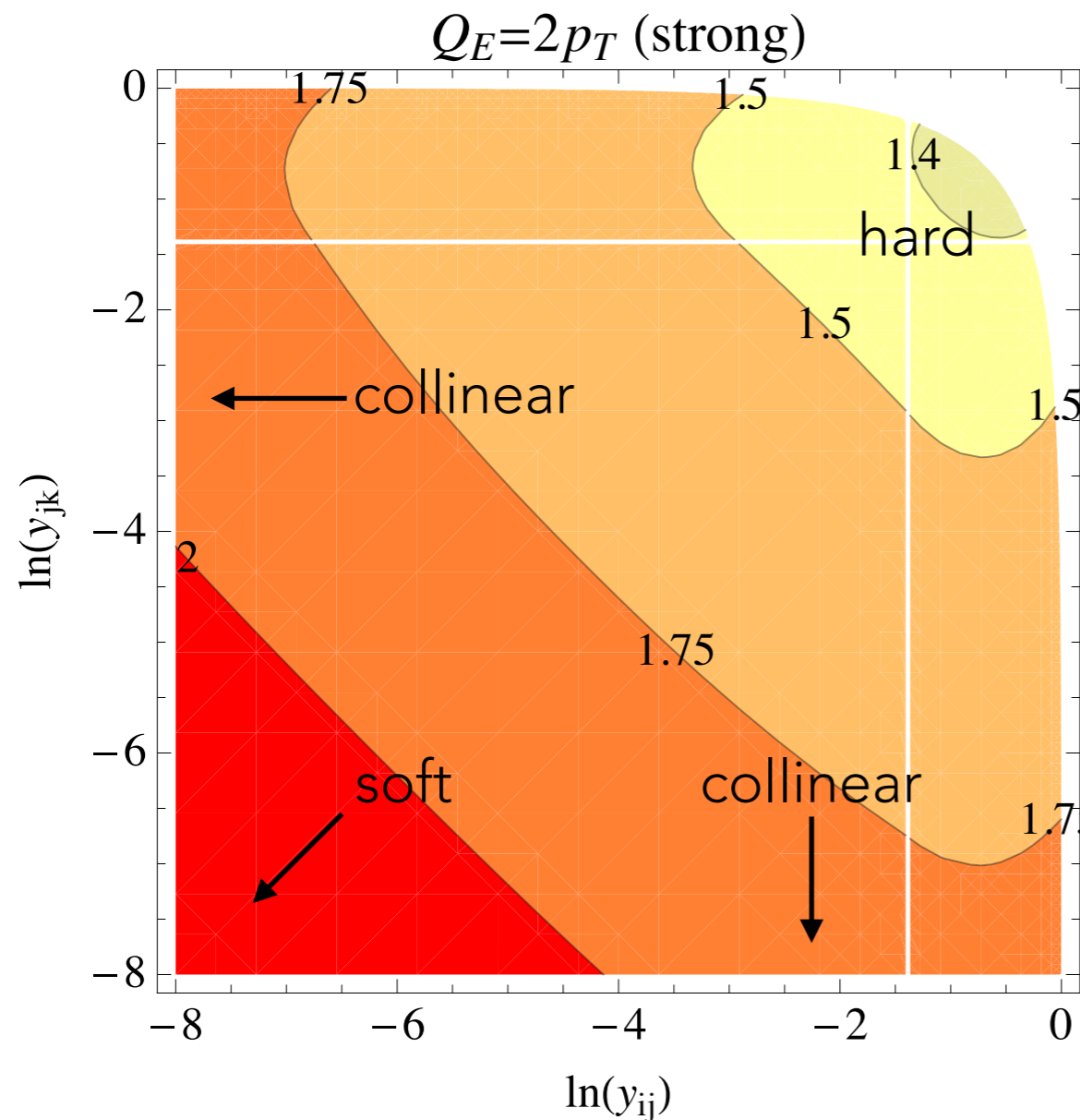
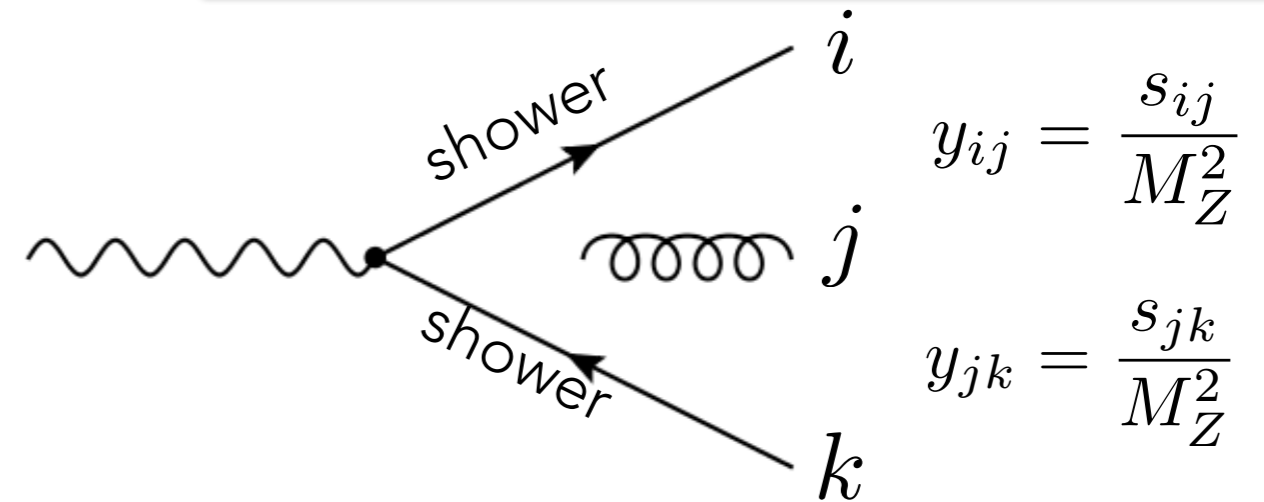


→ Matching Lectures by Stefan Höche

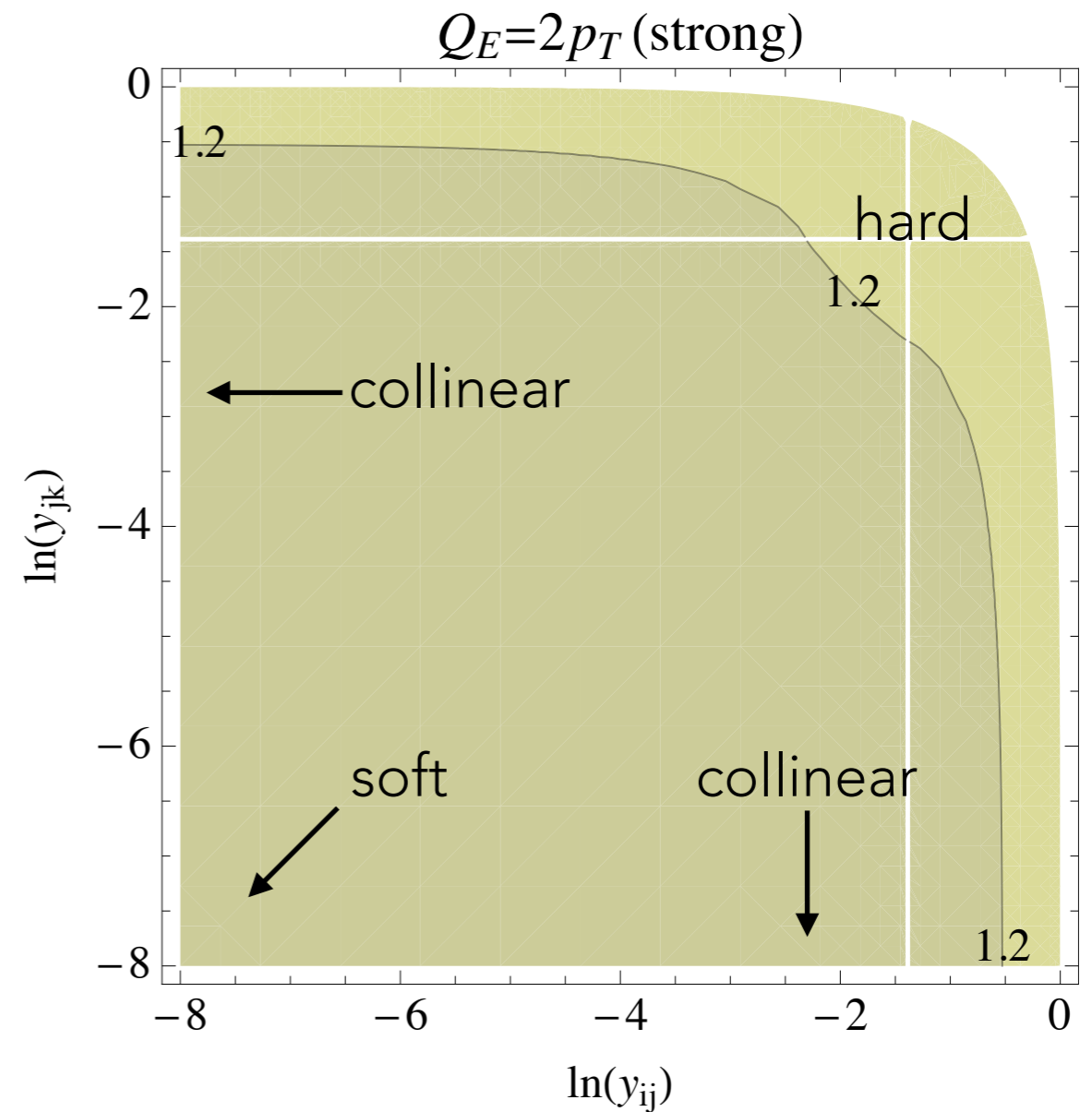


# Z → 3 Jets

Size of NLO "K" factor  
over phase space



(a)  $\mu_{\text{PS}} = \sqrt{s}$



(b)  $\mu_{\text{PS}} = p_{\perp}$

# Z → 3 Jets      Size of NLO "K" factor over phase space

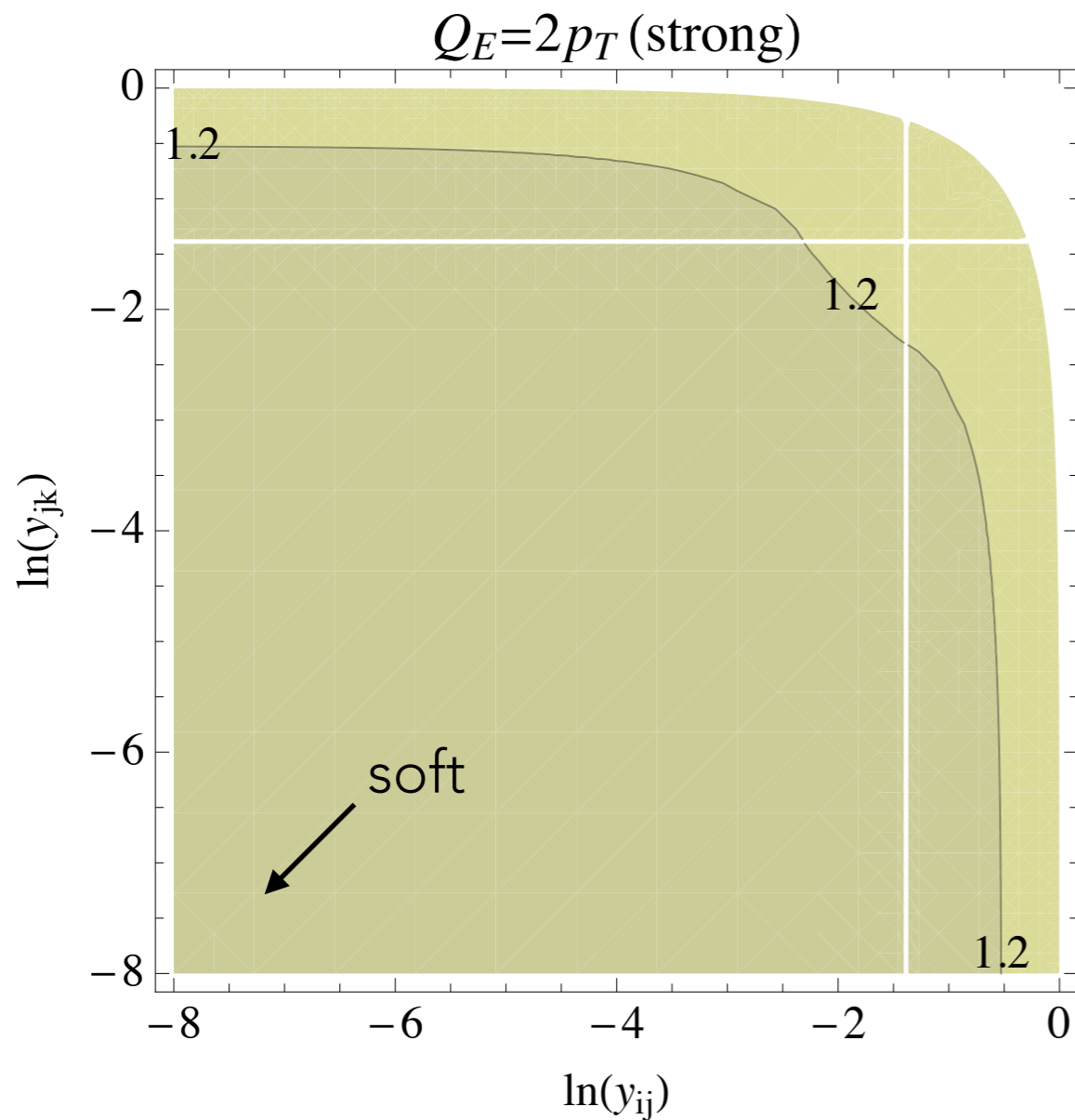
The "CMW" factor

$$k_{\text{CMW}} = \exp\left(\frac{67 - 3\pi^2 - 10n_F/3}{2(33 - 2n_F)}\right) = \begin{cases} 1.513 & n_F = 6 \\ 1.569 & n_F = 5 \\ 1.618 & n_F = 4 \\ 1.661 & n_F = 3 \end{cases}$$

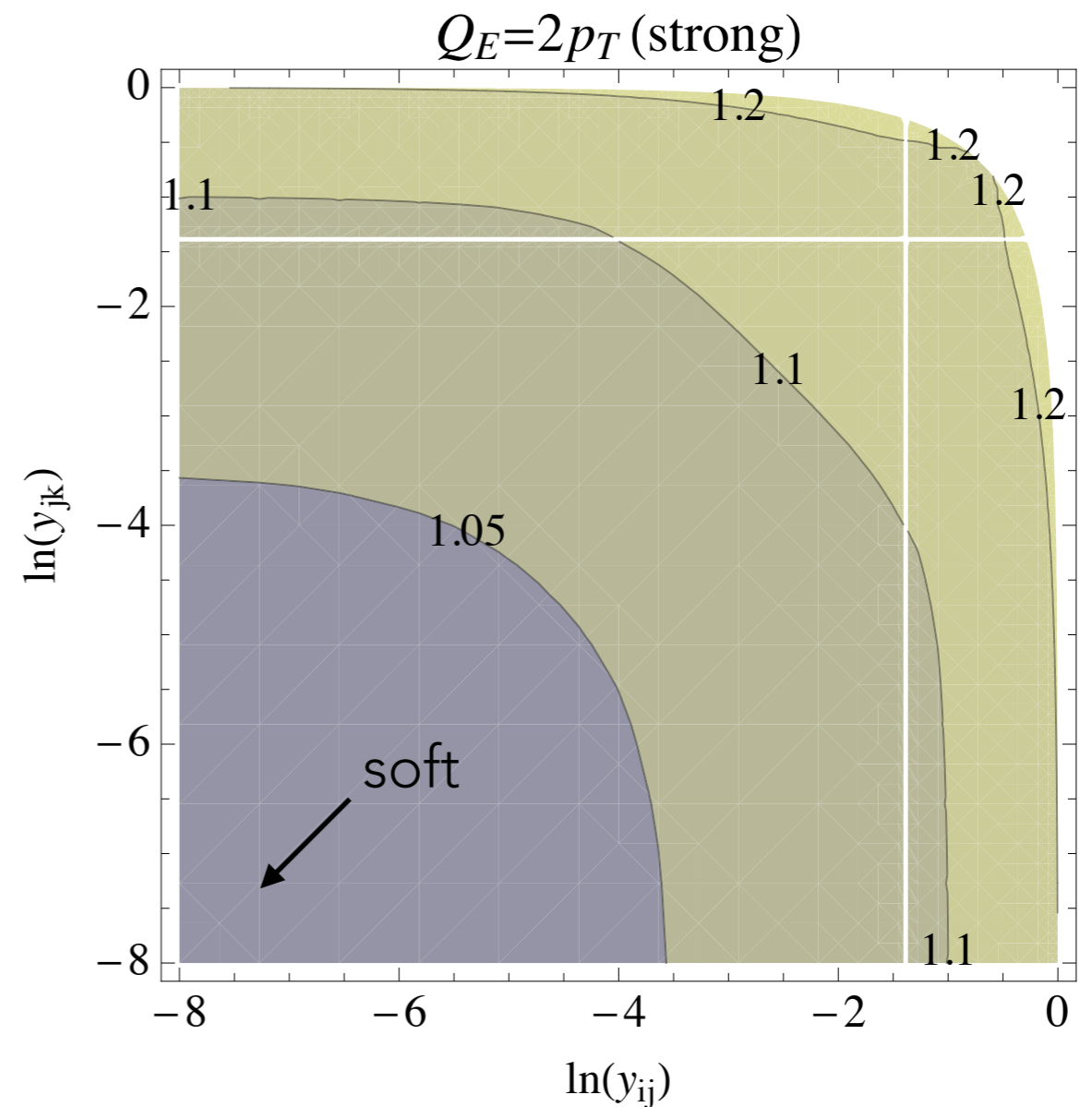
: Constant shift by

$$\frac{\alpha_s}{2\pi} \frac{\beta_0}{2} \ln(k_{\text{CMW}}^2) \sim 0.07$$

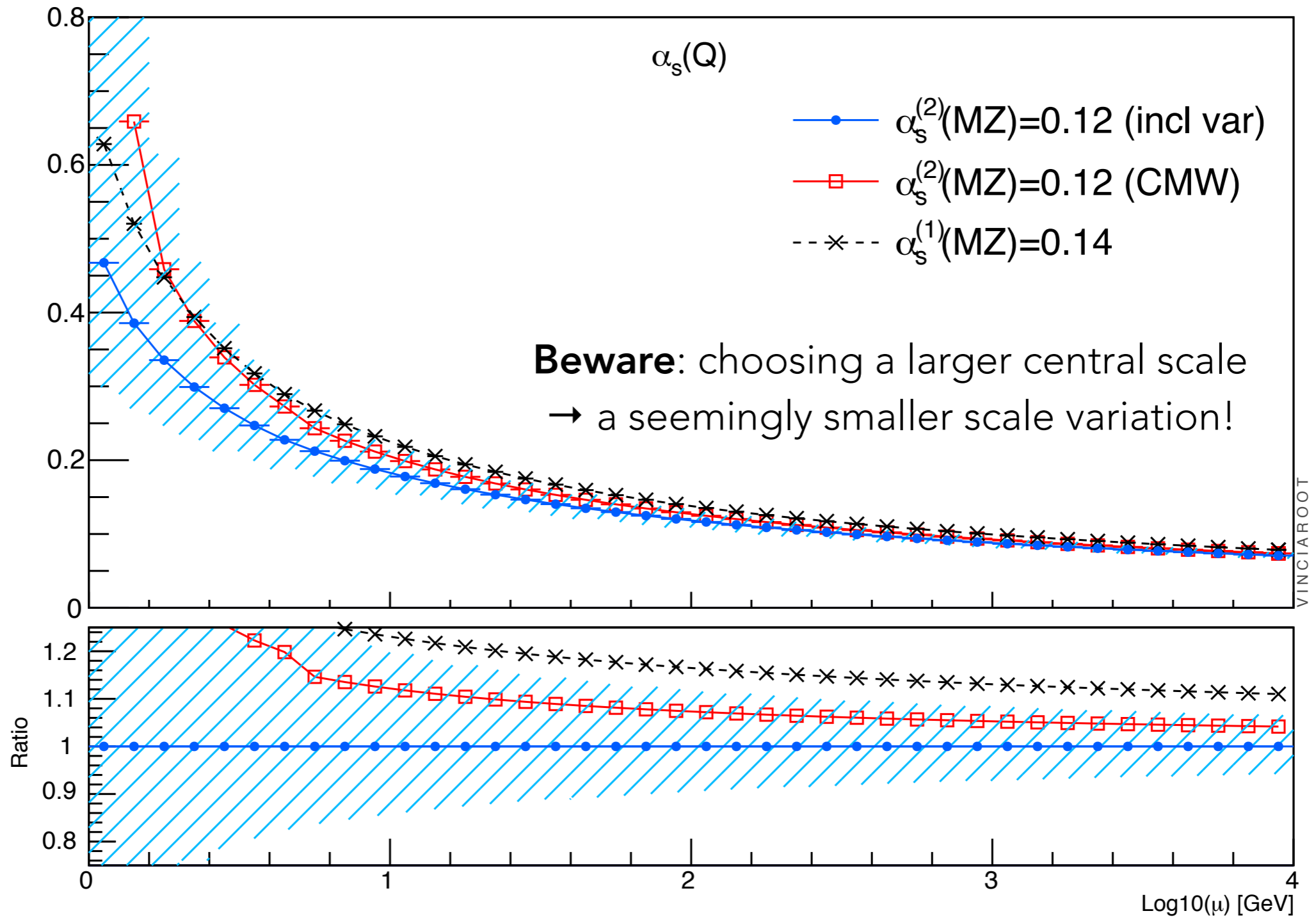
Catani, Marchesini, Webber, NPB349



(b)  $\mu_{\text{PS}} = p_{\perp}$



$\mu_{\text{PS}} = p_{\perp}$ , with CMW



2 Loop:  $\alpha_s(M_Z)=0.12$      $\Lambda_3 = 0.37$      $\Lambda_4 = 0.32$      $\Lambda_5 = 0.23$   
 1 Loop:  $\alpha_s(M_Z)=0.14$      $\Lambda_3 = 0.37$      $\Lambda_4 = 0.33$      $\Lambda_5 = 0.26$

(In all cases, 5-flavor running is still used above  $m_t$ )

# (INITIAL-STATE EVOLUTION)

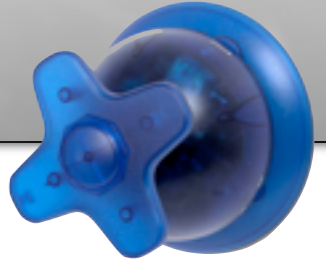
## DGLAP for Parton Density

$$\frac{df_b(x, t)}{dt} = \sum_{a,c} \int \frac{dx'}{x'} f_a(x', t) \frac{\alpha_{abc}}{2\pi} P_{a \rightarrow bc} \left( \frac{x}{x'} \right)$$

→ Sudakov for ISR

$$\begin{aligned} \Delta(x, t_{\max}, t) &= \exp \left\{ - \int_t^{t_{\max}} dt' \sum_{a,c} \int \frac{dx'}{x'} \frac{f_a(x', t')}{f_b(x, t')} \frac{\alpha_{abc}(t')}{2\pi} P_{a \rightarrow bc} \left( \frac{x}{x'} \right) \right\} \\ &= \exp \left\{ - \int_t^{t_{\max}} dt' \sum_{a,c} \int dz \frac{\alpha_{abc}(t')}{2\pi} P_{a \rightarrow bc}(z) \frac{x' f_a(x', t')}{x f_b(x, t')} \right\}, \end{aligned}$$

# THE SHOWER OPERATOR



$$\text{Born} \quad \left. \frac{d\sigma_H}{d\mathcal{O}} \right|_{\text{Born}} = \int d\Phi_H |M_H^{(0)}|^2 \delta(\mathcal{O} - \mathcal{O}(\{p\}_H))$$

H = Hard process  
{p} : partons

But instead of evaluating  $\mathcal{O}$  directly on the Born final state,  
first insert a showering operator

$$\text{Born} \quad \left. \frac{d\sigma_H}{d\mathcal{O}} \right|_{\mathcal{S}} = \int d\Phi_H |M_H^{(0)}|^2 \mathcal{S}(\{p\}_H, \mathcal{O})$$

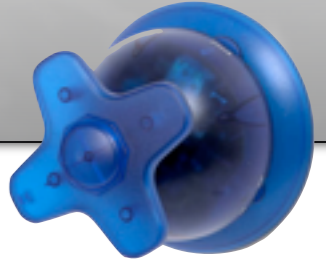
{p} : partons  
S : showering operator

Unitarity: to first order, S does nothing

$$\mathcal{S}(\{p\}_H, \mathcal{O}) = \delta(\mathcal{O} - \mathcal{O}(\{p\}_H)) + \mathcal{O}(\alpha_s)$$



# THE SHOWER OPERATOR



## To ALL Orders

(Markov Chain)

$$S(\{p\}_X, \mathcal{O}) = \Delta(t_{\text{start}}, t_{\text{had}}) \delta(\mathcal{O} - \mathcal{O}(\{p\}_X))$$

"Nothing Happens"  $\rightarrow$  "Evaluate Observable"

$$- \int_{t_{\text{start}}}^{t_{\text{had}}} dt \frac{d\Delta(t_{\text{start}}, t)}{dt} S(\{p\}_{X+1}, \mathcal{O})$$

"Something Happens"  $\rightarrow$  "Continue Shower"

All-orders Probability that nothing happens

$$\Delta(t_1, t_2) = \exp \left( - \int_{t_1}^{t_2} dt \frac{d\mathcal{P}}{dt} \right)$$

(Exponentiation)

Analogous to nuclear decay

$$N(t) \approx N(0) \exp(-ct)$$