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# ALFA + ATLAS Physics Opportunities

# QCD Models

**A**

**A)** Start from pQCD. Extend towards Infrared. HERWIG/JIMMY, PYTHIA, SHERPA, EPOS

Elastic & Diffractive  
Treated as separate class  
No predictivity

Color Screening  
Regularization of pQCD

Unitarity  
Multiple  $2 \rightarrow 2$   
(MPI)

Quarks, Gluons  
pQCD  
 $2 \rightarrow 2$  (Rutherford)

Strings span entire rapidity region  $\rightarrow$  Constraints in forward region impact global description.

PYTHIA uses **string fragmentation**, HERWIG & SHERPA use **cluster fragmentation**

Elastic

Min-Bias

Dijets



**B**

**B)** Start from Optical Theorem & Unitarity. Extend towards Ultraviolet. PHOJET, DPMJET, QGSJET, SIBYLL, ...

Hadrons  
Optical Theorem  
 $pp \rightarrow pp$

Pomerons: Diffraction  
Cut Pomerons: Non-diffractive (soft)

Hard Pomeron?

Note: PHOJET & DPMJET use **string fragmentation** (from PYTHIA)  $\rightarrow$  some overlap

# Soft QCD: Definitions

$\sigma_{\text{tot}} \approx$

EXPERIMENT

THEORY MODELS

**ELASTIC**

$pp \rightarrow pp$

QED+QCD

$\sim$  (\*QED =  $\infty$ )

**SINGLE DIFFRACTION**

$pp \rightarrow p + \text{gap} + X$

Fiducial region,  
identified proton,  
and/or  
observable gap

$\neq$  SD model:  
Small gaps suppressed but not zero

**DOUBLE DIFFRACTION**

$pp \rightarrow X + \text{gap} + X$

$\neq$  DD model:  
Small gaps suppressed but not zero

**INELASTIC NON-DIFFRACTIVE**

$pp \rightarrow X$  (no gap)

$\neq$  Large gaps suppressed but not zero

(+ multi-gap diffraction)

## Min-Bias, Single-Gap, Forward-proton, etc.

= Experimental trigger condition(s) (**hardware-dependent**)

Correct to hardware-independent reference condition(s)

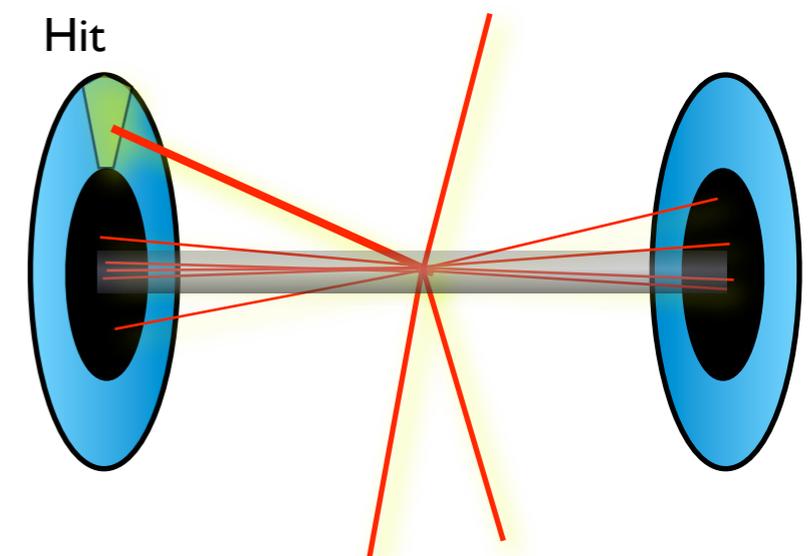
Full acceptance (not  $4\pi$ ), or more restrictive

## “Theory” for Min-Bias/Diffraction/...?

Really = Model for ALL INELASTIC incl diffraction (**with model-dependent defs of ND, SD, ...**)

Compare to data with different reference condition(s)  $\rightarrow$  suppress/enhance diffraction

Can also extrapolate to full phase space (**model-dependent**)



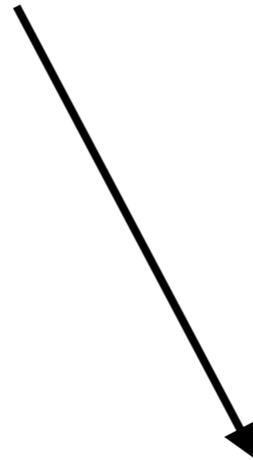
# 1) Hard Interactions

(Inelastic, Non-Diffractive)

“Intuitive picture”

Perturbative QCD  
folded with Non-  
Perturbative PDFs

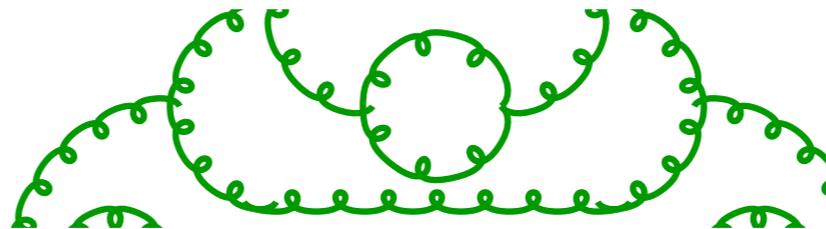
Hard Probe



Short-Distance  
QCD Matrix Elements



Long-Distance  
Parton Distribution Functions

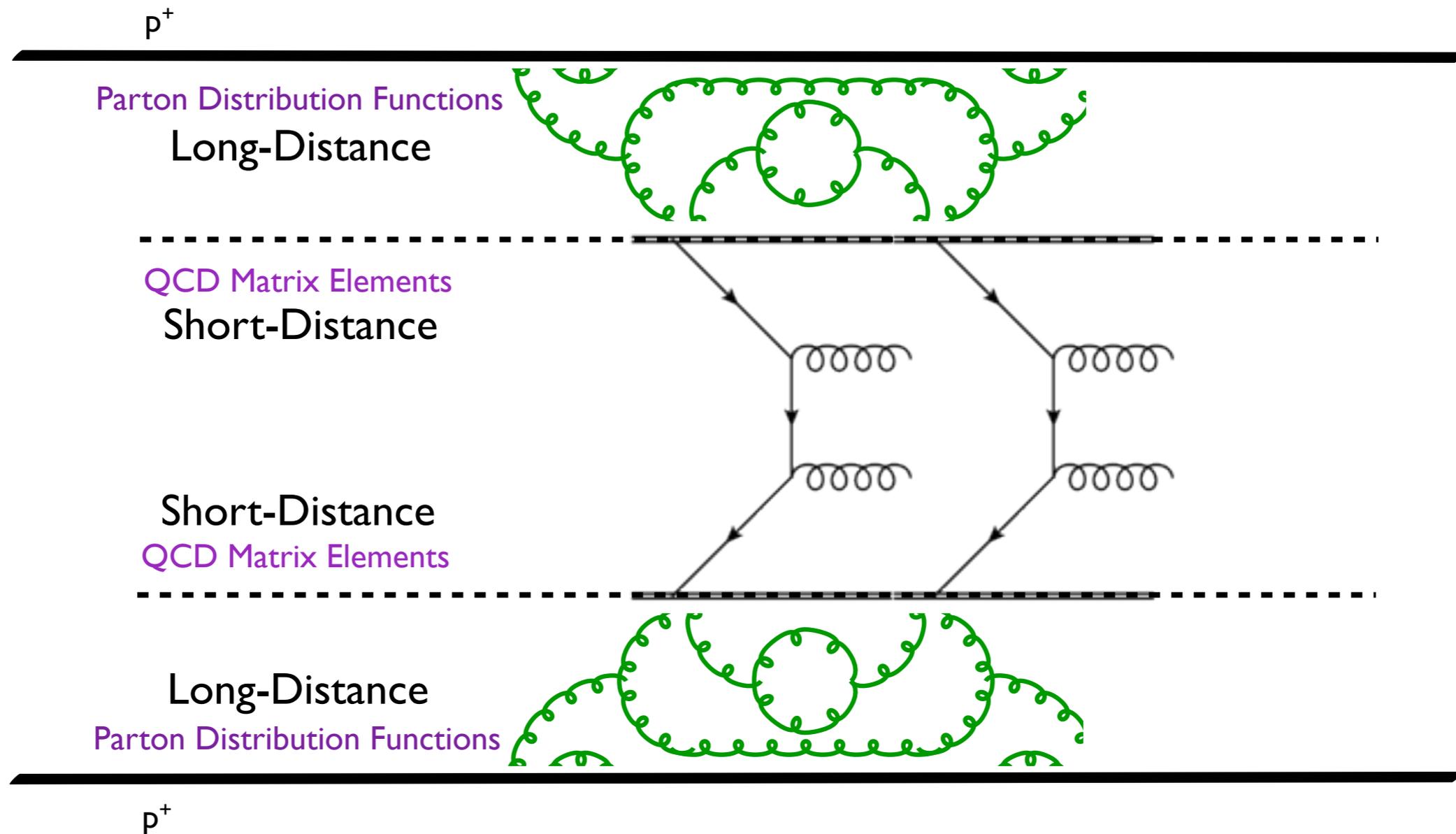


$p^+$

# 2) Underlying Event (UE)

(MPI: Multiple Parton Interactions)

Hadrons are composite → possibility of Multiple Simultaneous Parton Interactions



**Example:** 2 parton-parton interactions in one  $pp$  interaction  
→ Generates UE level > Min-Bias (& destroys diffractive gaps)

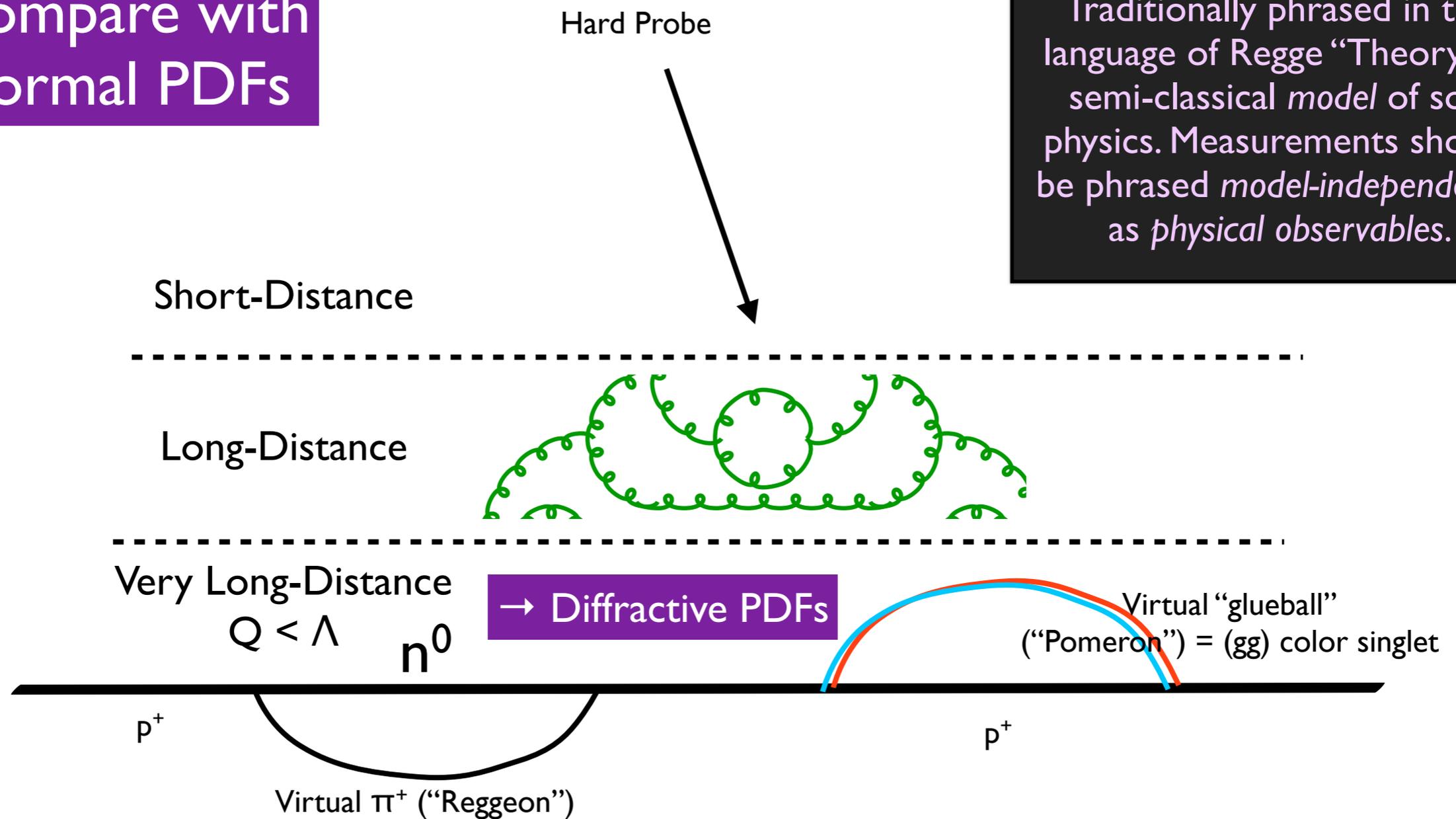
# 3) Diffraction

(Hitting Colour-Singlet Substructure Fluctuations in the Beam Hadrons)

“Intuitive picture”

Compare with normal PDFs

**Note on Diffraction:**  
Traditionally phrased in the language of Regge “Theory” = semi-classical *model* of soft physics. Measurements should be phrased *model-independently* as *physical observables*.

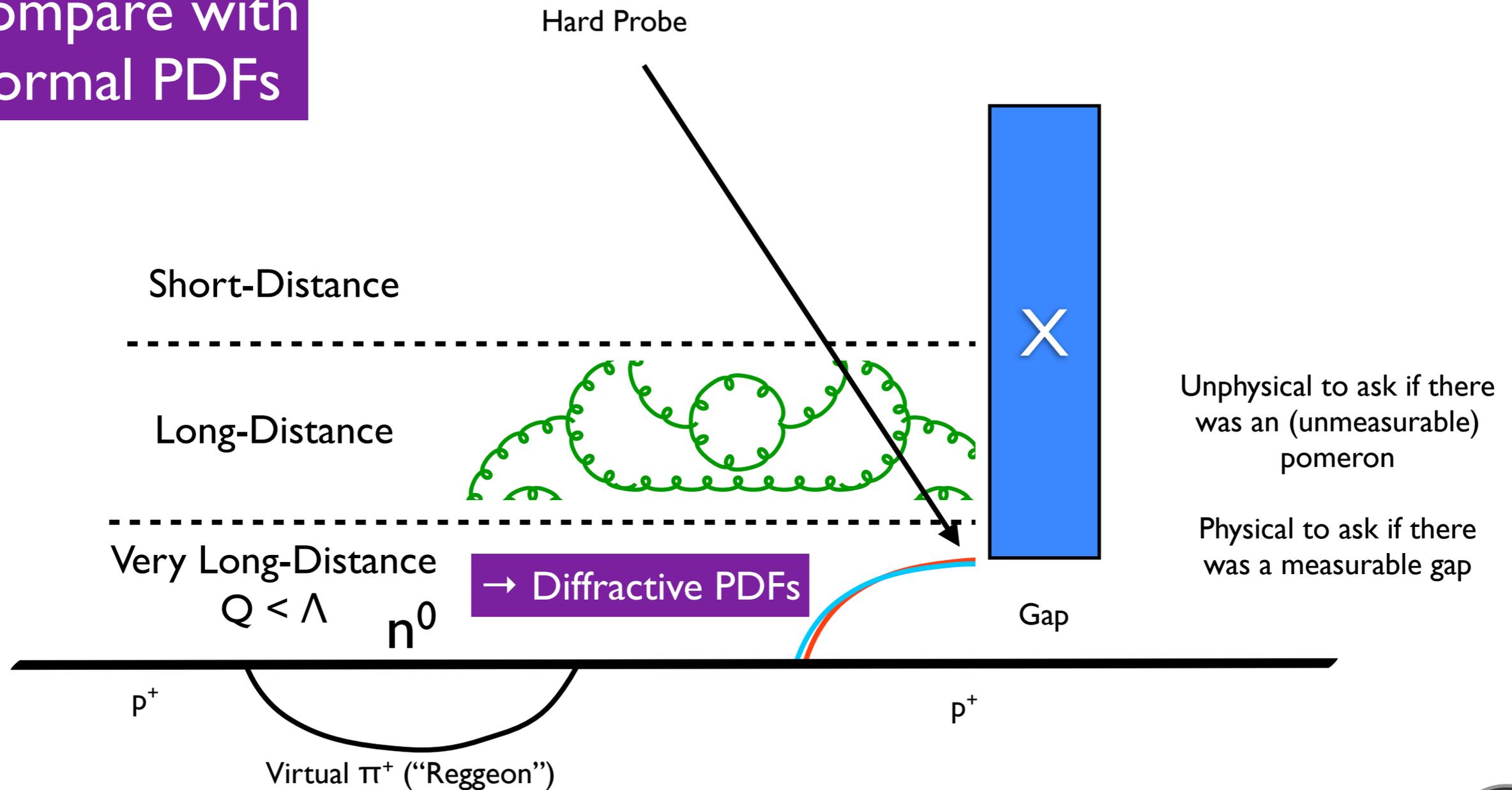


# 3) Diffraction

(Colour-Singlet Substructure Fluctuations in the Beam Hadrons)

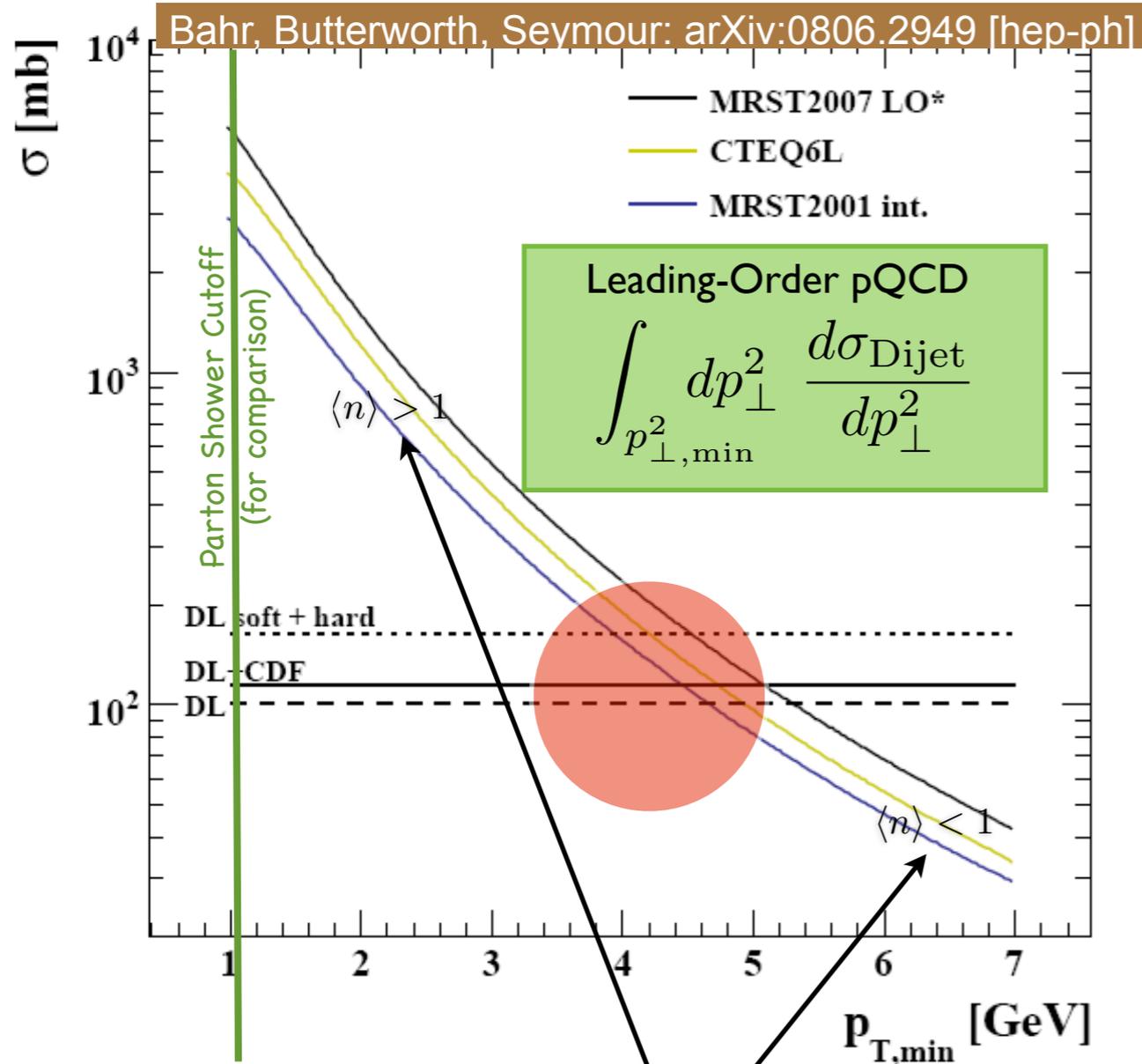
“Intuitive picture”

Compare with normal PDFs

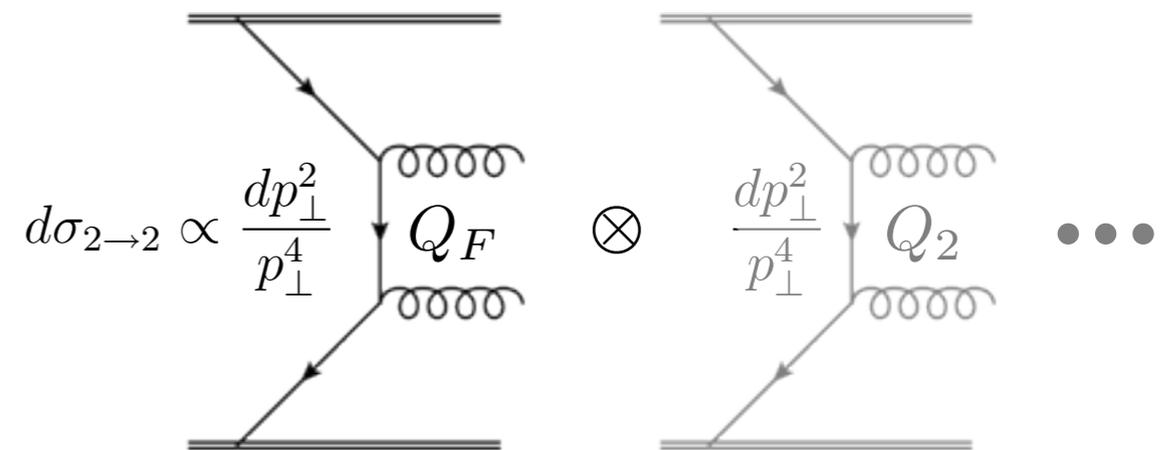


# Multiple Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Earliest MC model ("old" PYTHIA 6 model)  
Sjöstrand, van Zijl PRD36 (1987) 2019



Lesson from bremsstrahlung in pQCD:  
divergences  $\rightarrow$  fixed-order breaks down  
Perturbation theory still ok, with  
resummation (unitarity)

$\rightarrow$  Resum dijets?  
Yes  $\rightarrow$  MPI!

$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section

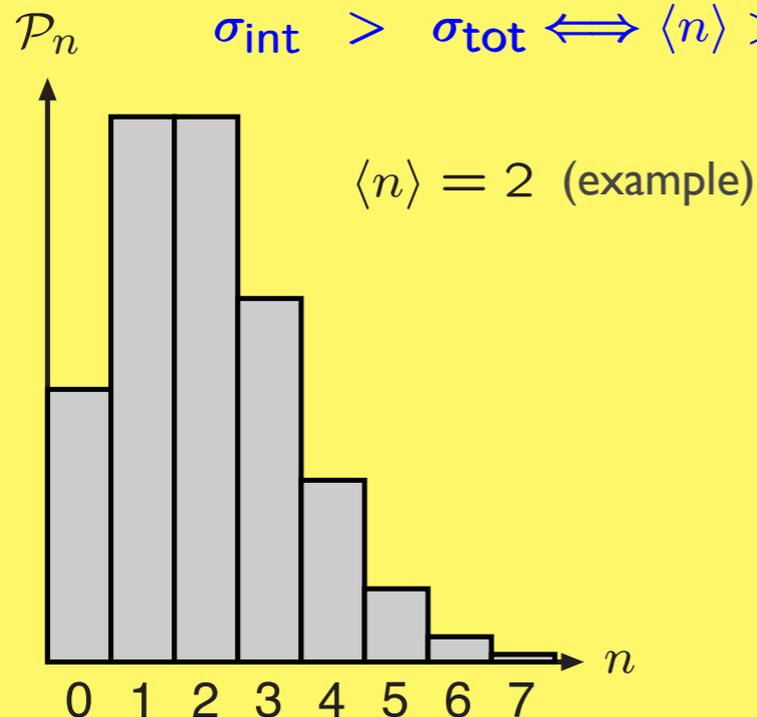
Hadron-Hadron Cross Section

# How many?

**Naively**  $\langle n_{2 \rightarrow 2}(p_{\perp \min}) \rangle = \frac{\sigma_{2 \rightarrow 2}(p_{\perp \min})}{\sigma_{\text{tot}}}$

Interactions independent (naive factorization)  $\rightarrow$  Poisson

$$\begin{aligned}\sigma_{\text{tot}} &= \sum_{n=0}^{\infty} \sigma_n \\ \sigma_{\text{int}} &= \sum_{n=0}^{\infty} n \sigma_n \\ \sigma_{\text{int}} &> \sigma_{\text{tot}} \iff \langle n \rangle > 1\end{aligned}$$



$$\mathcal{P}_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

## Real Life

Momentum conservation  
suppresses high-n tail  
+ physical correlations  $\rightarrow$   
not simple product

# 1: A Simple Model

The minimal model incorporating single-parton factorization, perturbative unitarity, and energy-and-momentum conservation

$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section Hadron-Hadron Cross Section

## 1. Choose $p_{T\min}$ cutoff

= main tuning parameter

## 2. Interpret $\langle n \rangle(p_{T\min})$ as mean of Poisson distribution

Equivalent to assuming all parton-parton interactions equivalent and independent ~ each take an instantaneous “snapshot” of the proton

## 3. Generate $n$ parton-parton interactions (pQCD $2 \rightarrow 2$ )

Veto if total beam momentum exceeded  $\rightarrow$  overall (E,p) cons

## 4. Add impact-parameter dependence $\rightarrow \langle n \rangle = \langle n \rangle(b)$ Ordinary CTEQ, MSTW, NNPDF, ...

Assume factorization of transverse and longitudinal d.o.f.,  $\rightarrow$  PDFs :  $f(x,b) = f(x)g(b)$

$b$  distribution  $\propto$  EM form factor  $\rightarrow$  **JIMMY model** Butterworth, Forshaw, Seymour Z.Phys. C72 (1996) 637

Constant of proportionality = second main tuning parameter

## 5. Add separate class of “soft” (zero- $p_T$ ) interactions representing

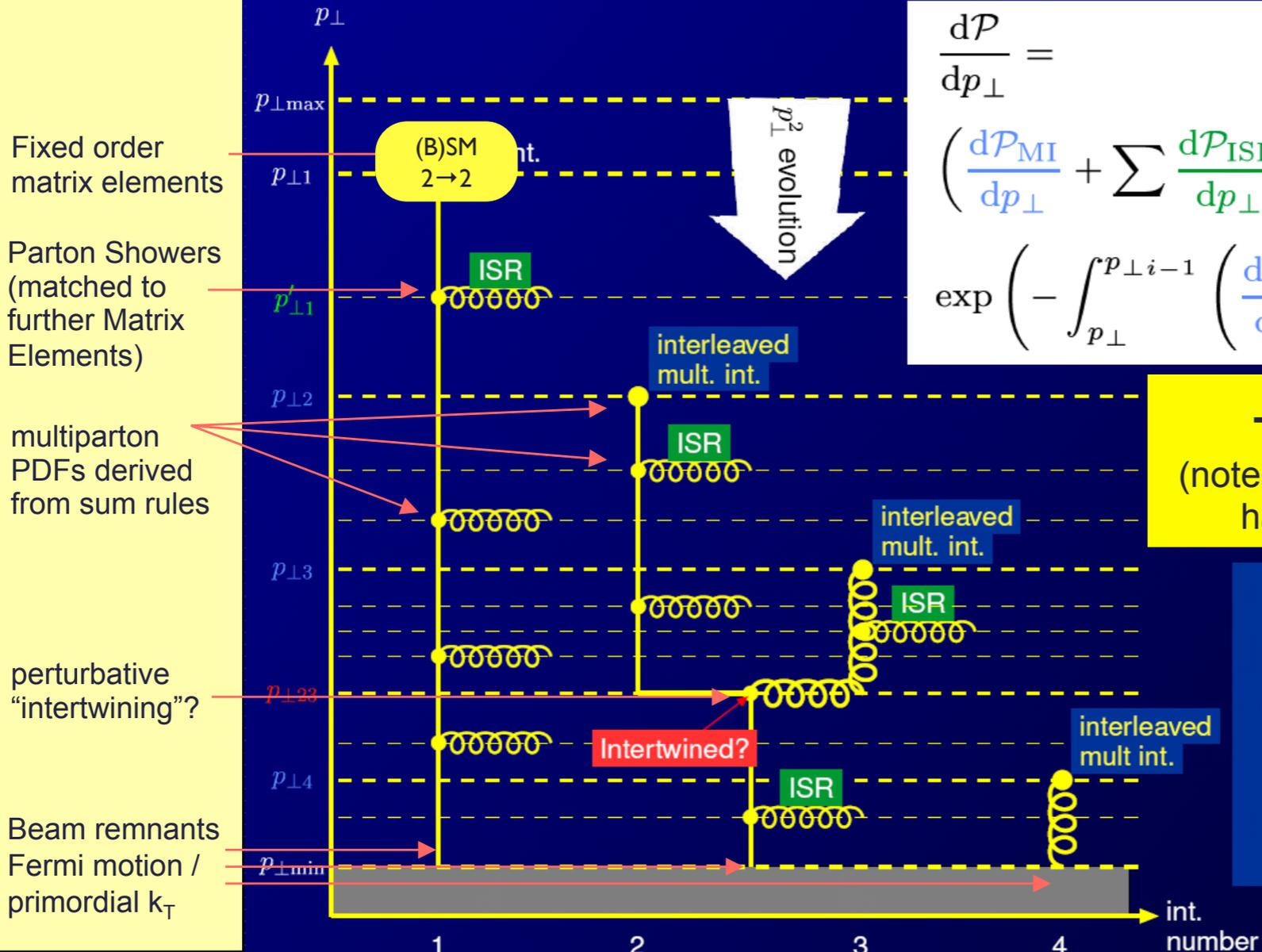
interactions with  $p_T < p_{T\min}$  and require  $\sigma_{\text{soft}} + \sigma_{\text{hard}} = \sigma_{\text{tot}}$

$\rightarrow$  **Herwig++ model** Bähr et al, arXiv:0905.4671

# 2: Interleaved Evolution

Sjöstrand & P.S., JHEP 0403 (2004) 053; EPJ C39 (2005) 129

Add exclusivity progressively by evolving *everything* downwards.



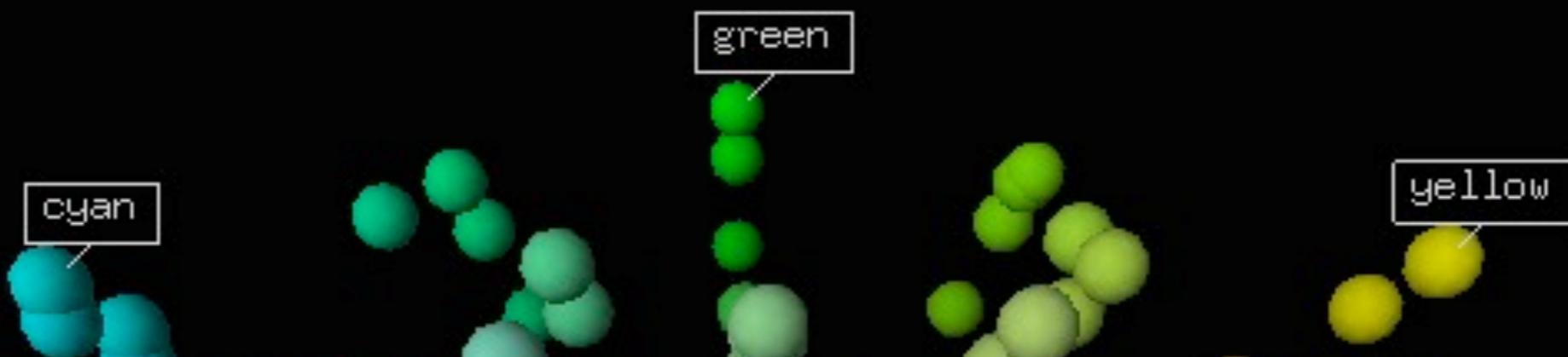
$$\frac{d\mathcal{P}}{dp_{\perp}} = \text{“New” Pythia model}$$

$$\left( \frac{d\mathcal{P}_{\text{MI}}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{\text{JI}}}{dp_{\perp}} \right) \times$$

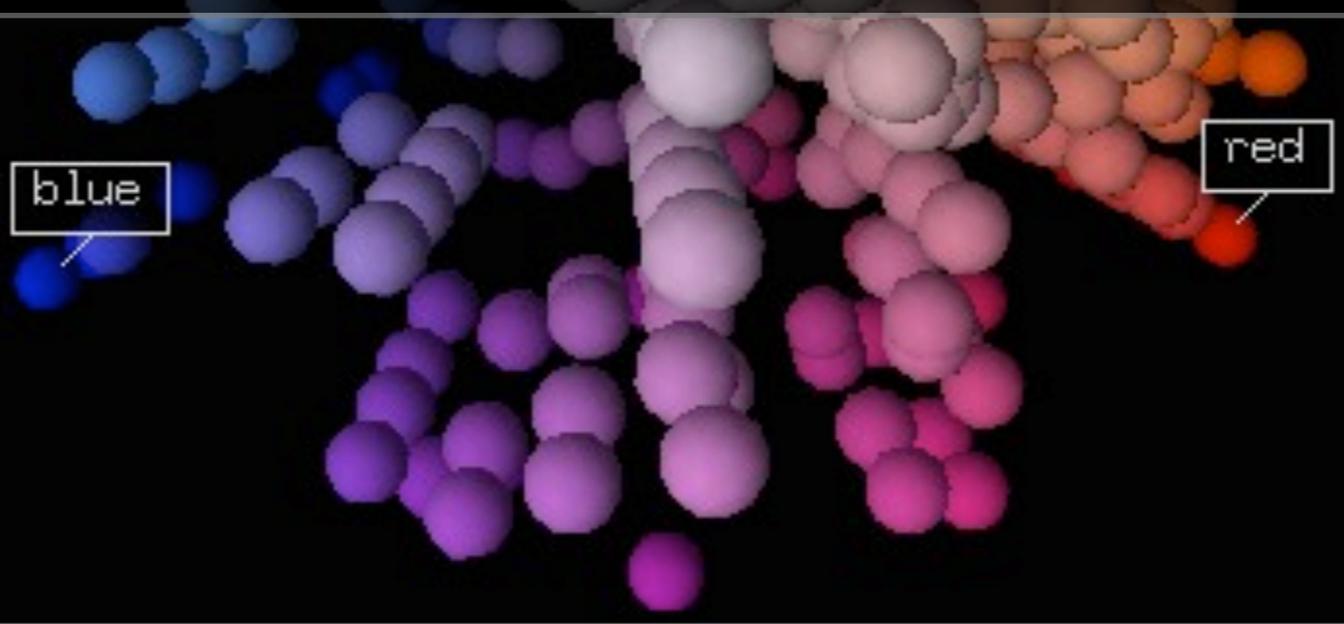
$$\exp \left( - \int_{p_{\perp}}^{p_{\perp} i-1} \left( \frac{d\mathcal{P}_{\text{MI}}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{\text{JI}}}{dp'_{\perp}} \right) dp'_{\perp} \right)$$

→ Underlying Event  
(note: interactions correlated in colour: hadronization not independent)

~ “Finegraining”  
→ correlations between all perturbative activity at successively smaller scales



# Color Space

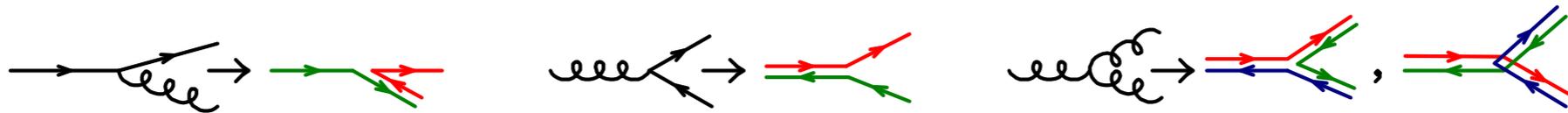


# Color Flow in MC Models

## “Planar Limit”

Equivalent to  $N_C \rightarrow \infty$ : no color interference\*

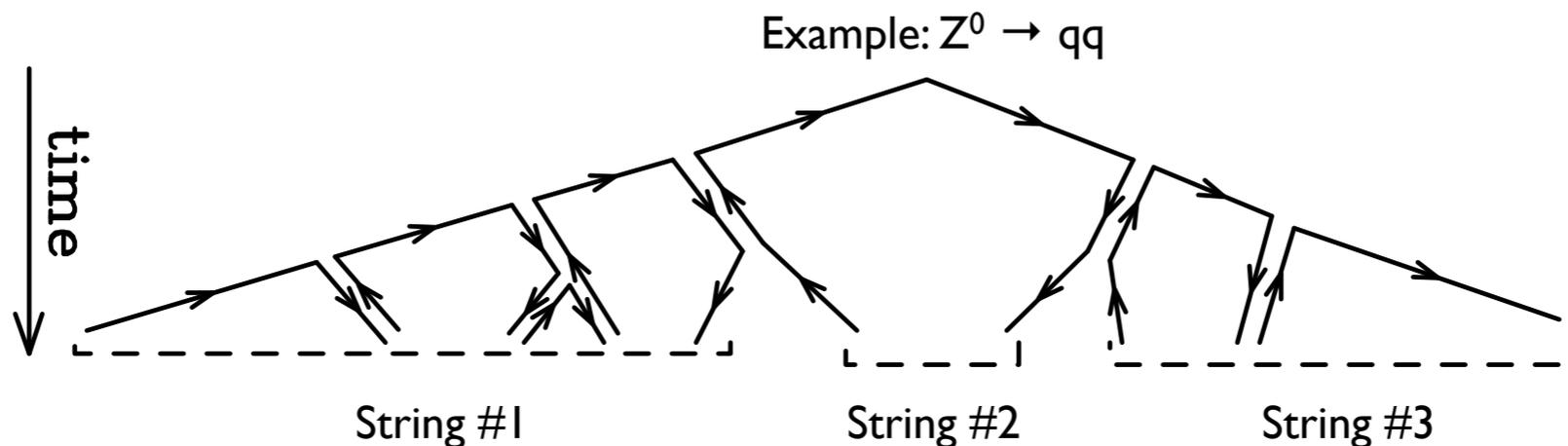
Rules for color flow:



\*) except as reflected by the implementation of QCD coherence effects in the Monte Carlos via angular or dipole ordering

## For an entire cascade:

Illustrations from: Nason + PS, PDG Review on MC Event Generators, 2012



Coherence of pQCD cascades  $\rightarrow$  not much “overlap” between strings

$\rightarrow$  planar approx pretty good

LEP measurements in WW confirm this (at least to order 10%  $\sim 1/N_C^2$ )

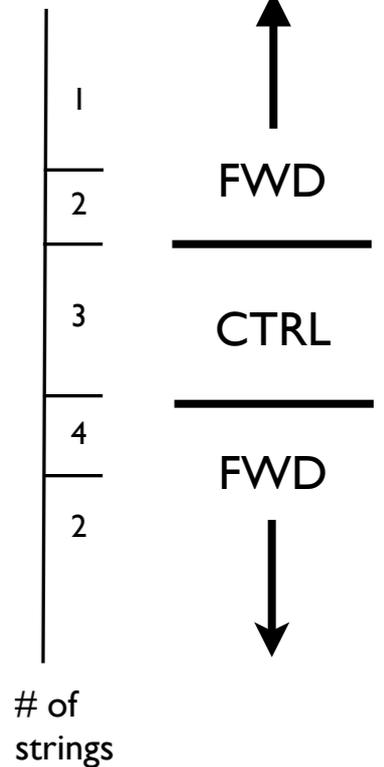
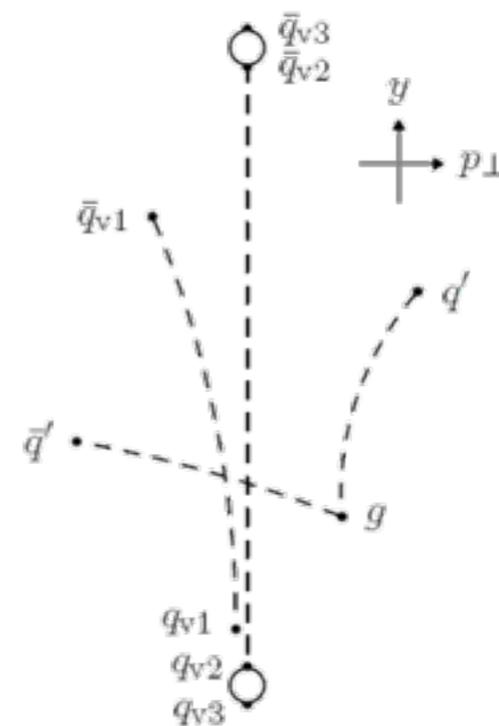
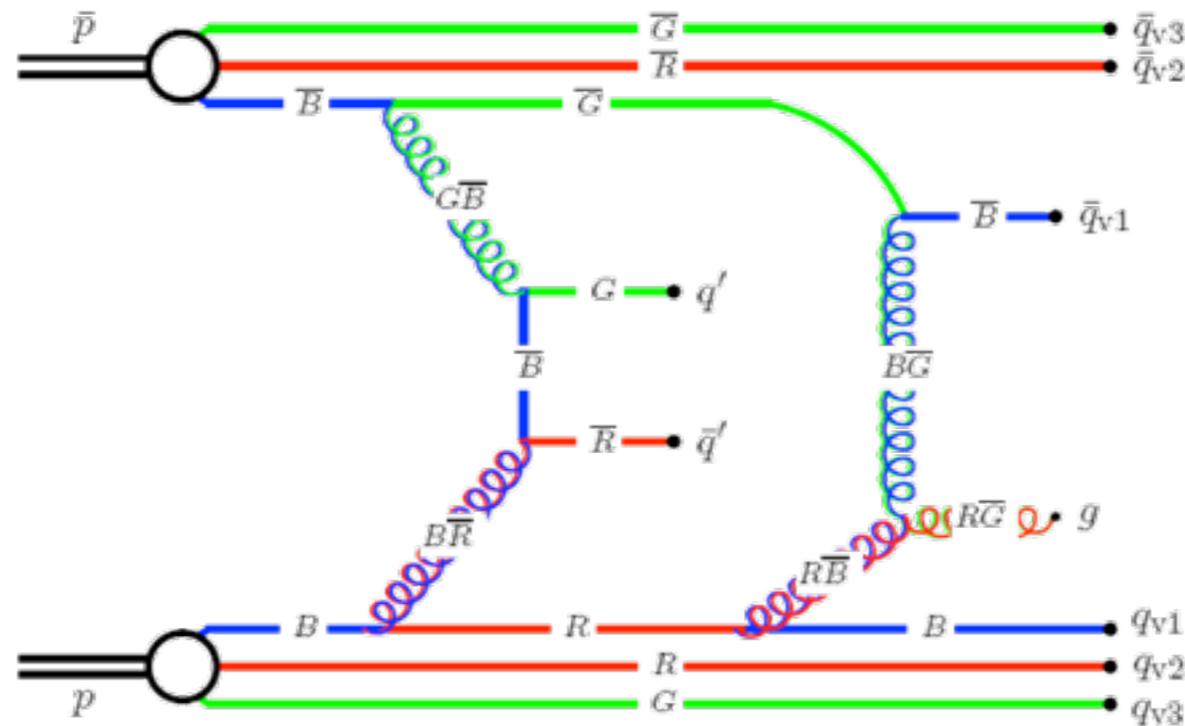
# Color Connections

Each MPI (or cut Pomeron) exchanges color between the beams

► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models make different ansätze



Sjöstrand & PS, JHEP 03(2004)053

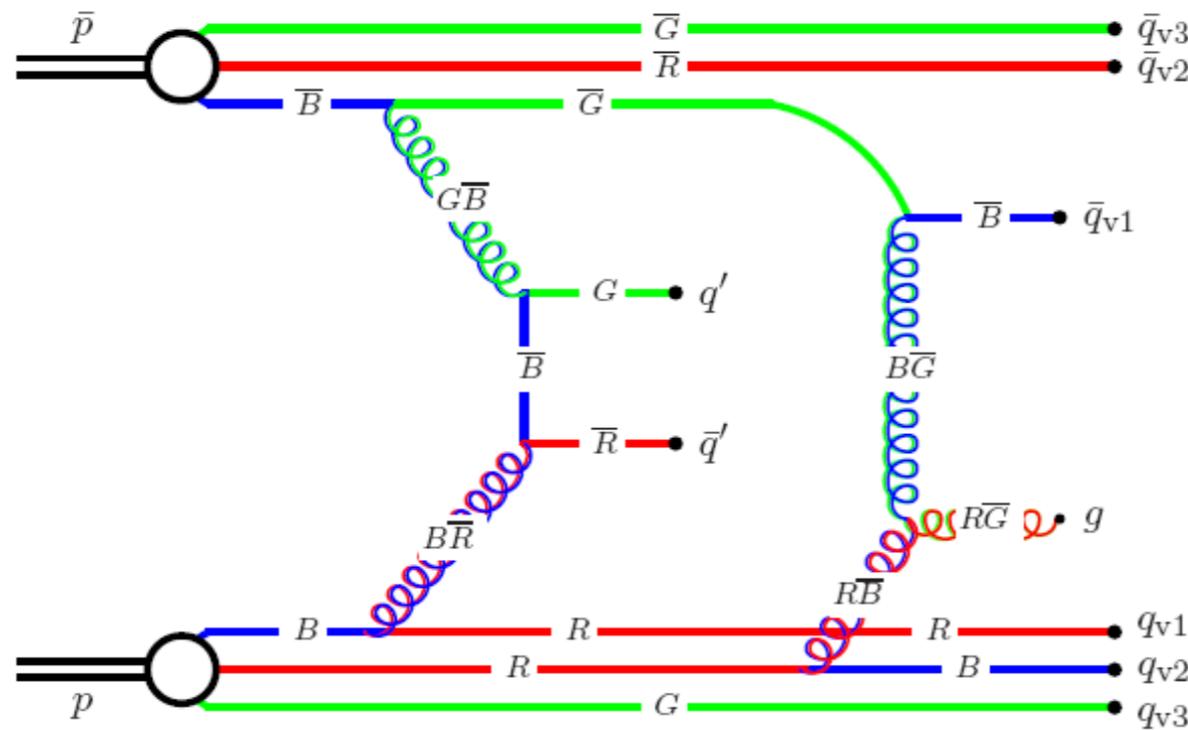
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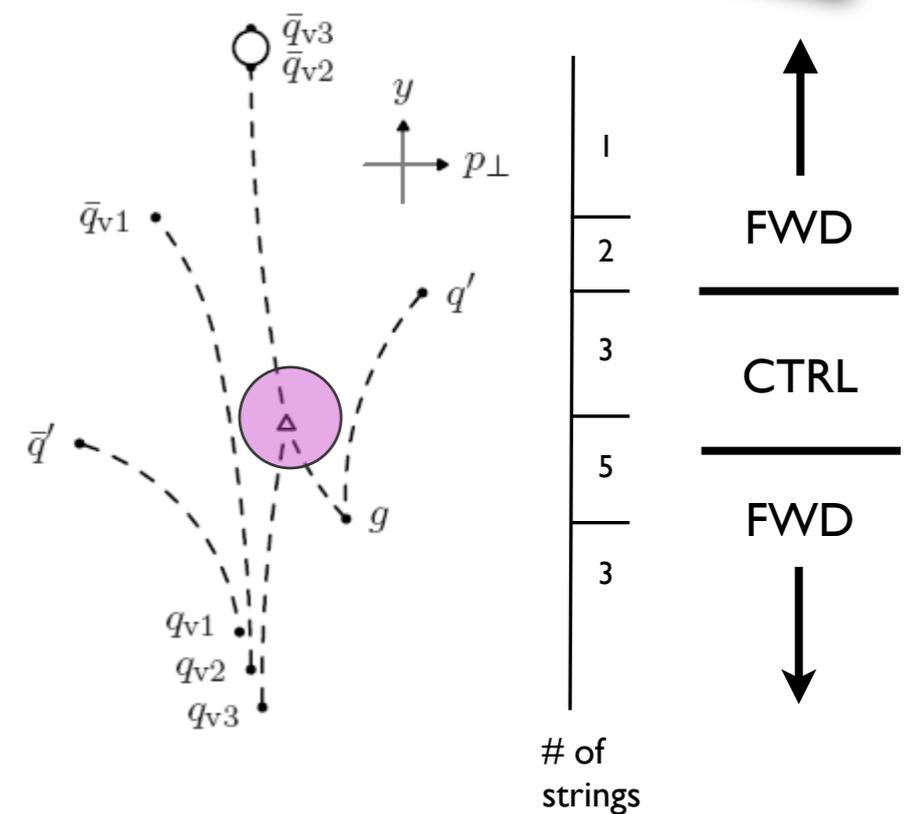
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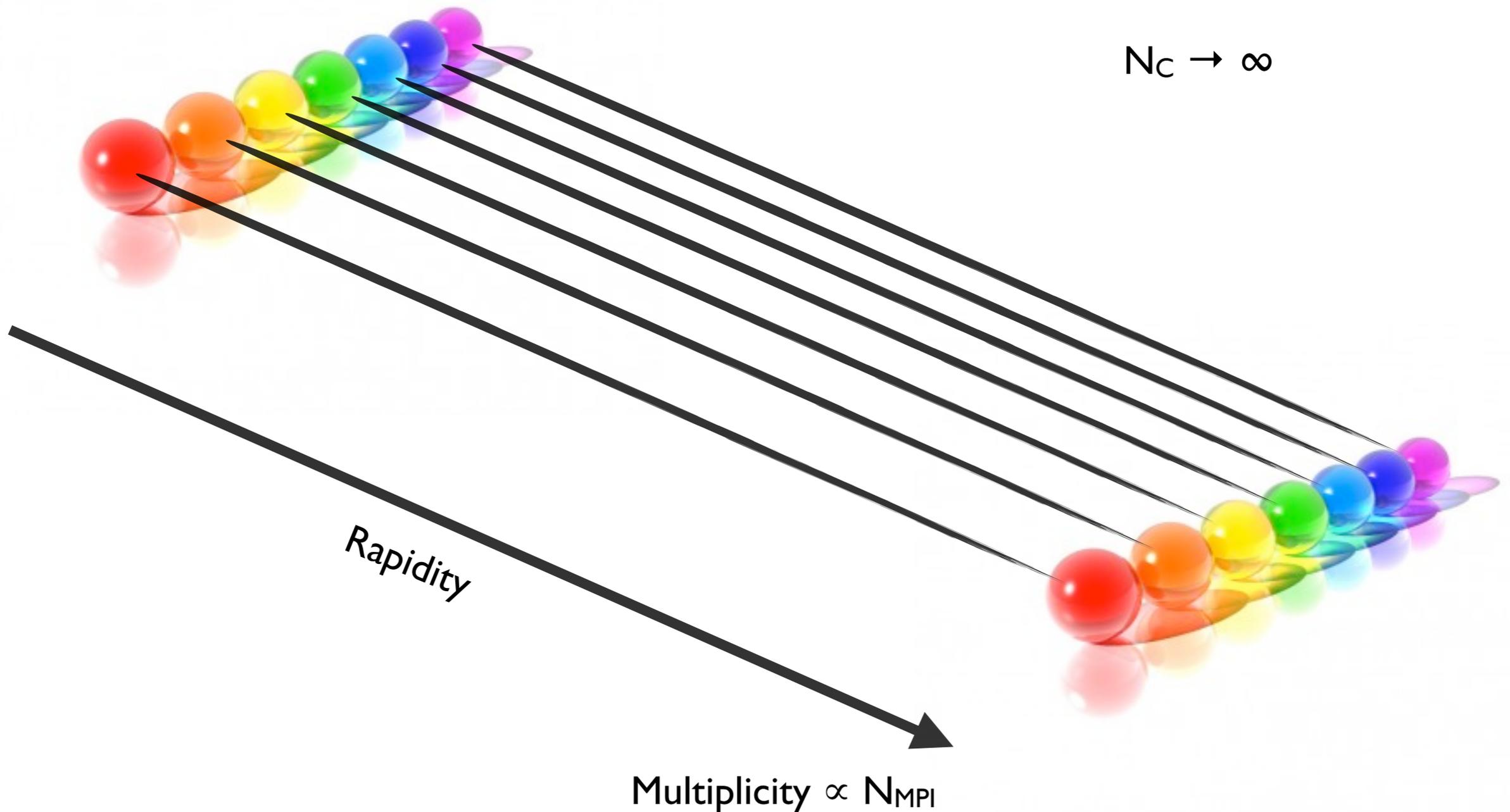
Sjöstrand & PS, JHEP 03(2004)053



# Color Connections

Better theory models needed

$$N_c \rightarrow \infty$$

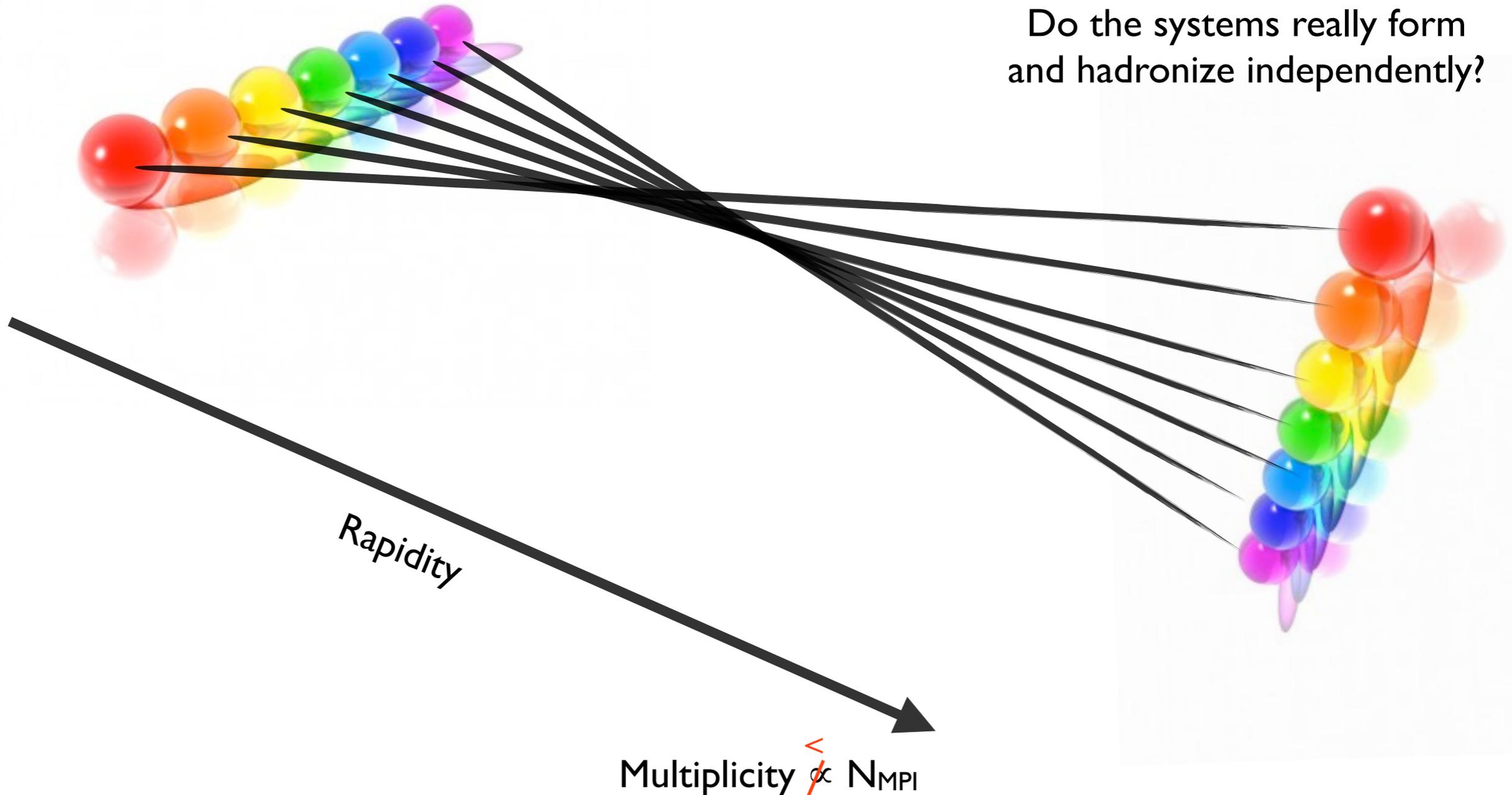


# Color Reconnections?

E.g.,  
Generalized Area Law (Rathsman: Phys. Lett. B452 (1999) 364)  
Color Annealing (P.S., Wicke: Eur. Phys. J. C52 (2007) 133)  
Statistical CR (Gieseke et al., arXiv:1206004)

Better theory models needed

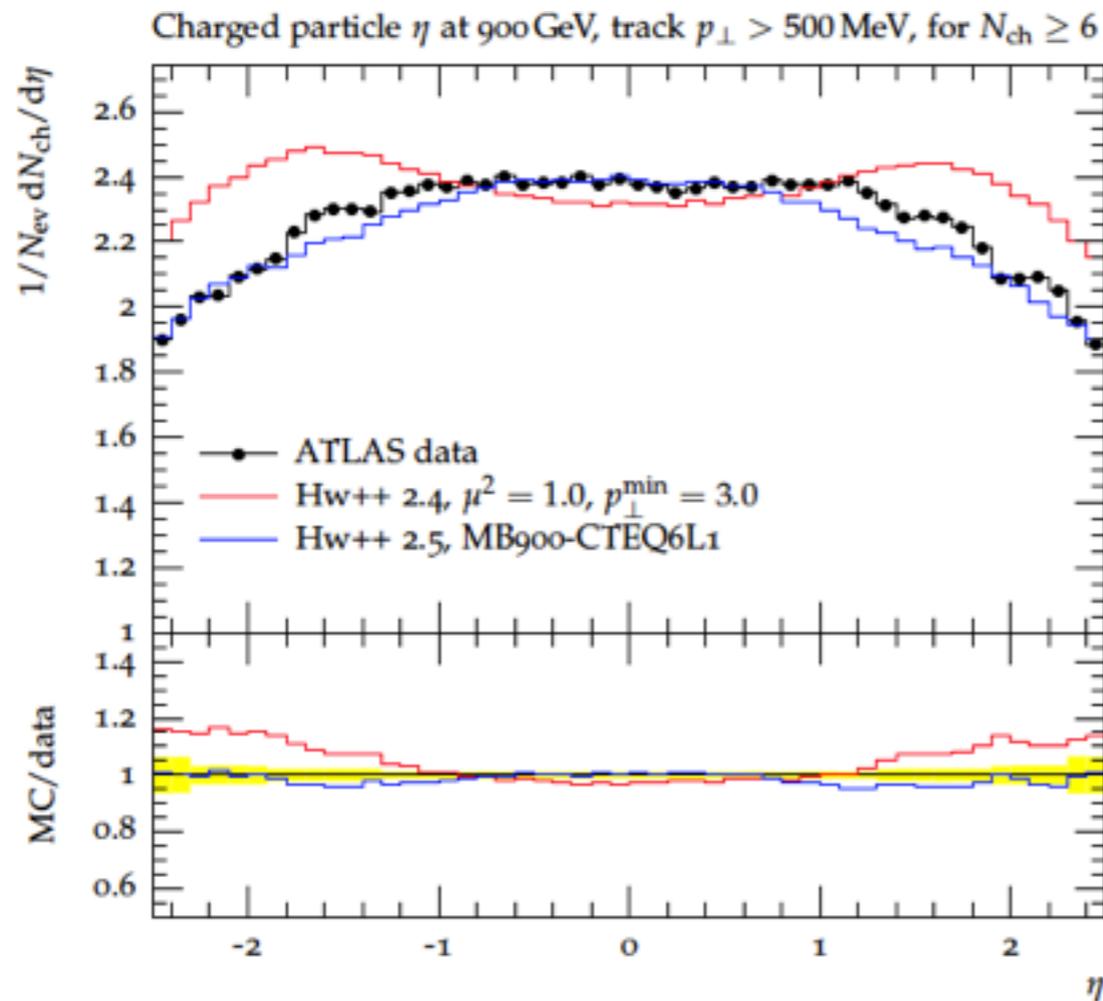
Do the systems really form  
and hadronize independently?



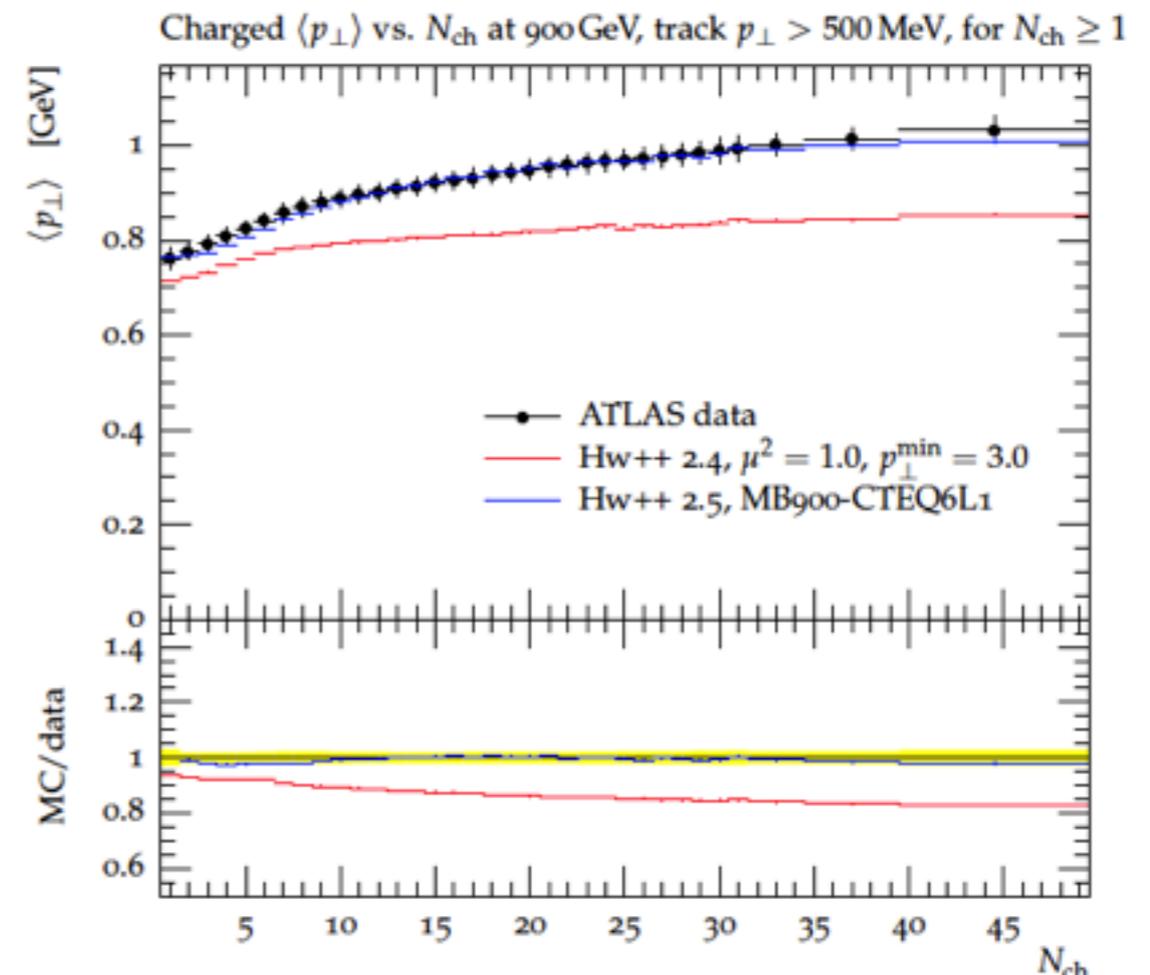
# Effects of CR

Examples from “CR in Herwig++” : Gieseke et al., arXiv:1206004

(Note: exhibits larger  $dN/d\eta$  effects than PYTHIA models, but qualitative features similar)



Forward region  
becomes less active



Average track  $p_T$   
becomes higher

# Min-Bias & Underlying Event

## Main IR Parameters

Number of MPI



**Infrared Regularization scale for the QCD 2→2 (Rutherford) scattering used for multiple parton interactions (often called  $p_{T0}$ ) → size of overall activity**

Pedestal Rise



**Proton transverse mass distribution → difference between central (active) vs peripheral (less active) collisions**

Strings per Interaction



**Color correlations between multiple-parton-interaction systems → shorter or longer strings → less or more hadrons per interaction**

# + Diffraction (in PYTHIA 8)



Navin, arXiv:1005.3894

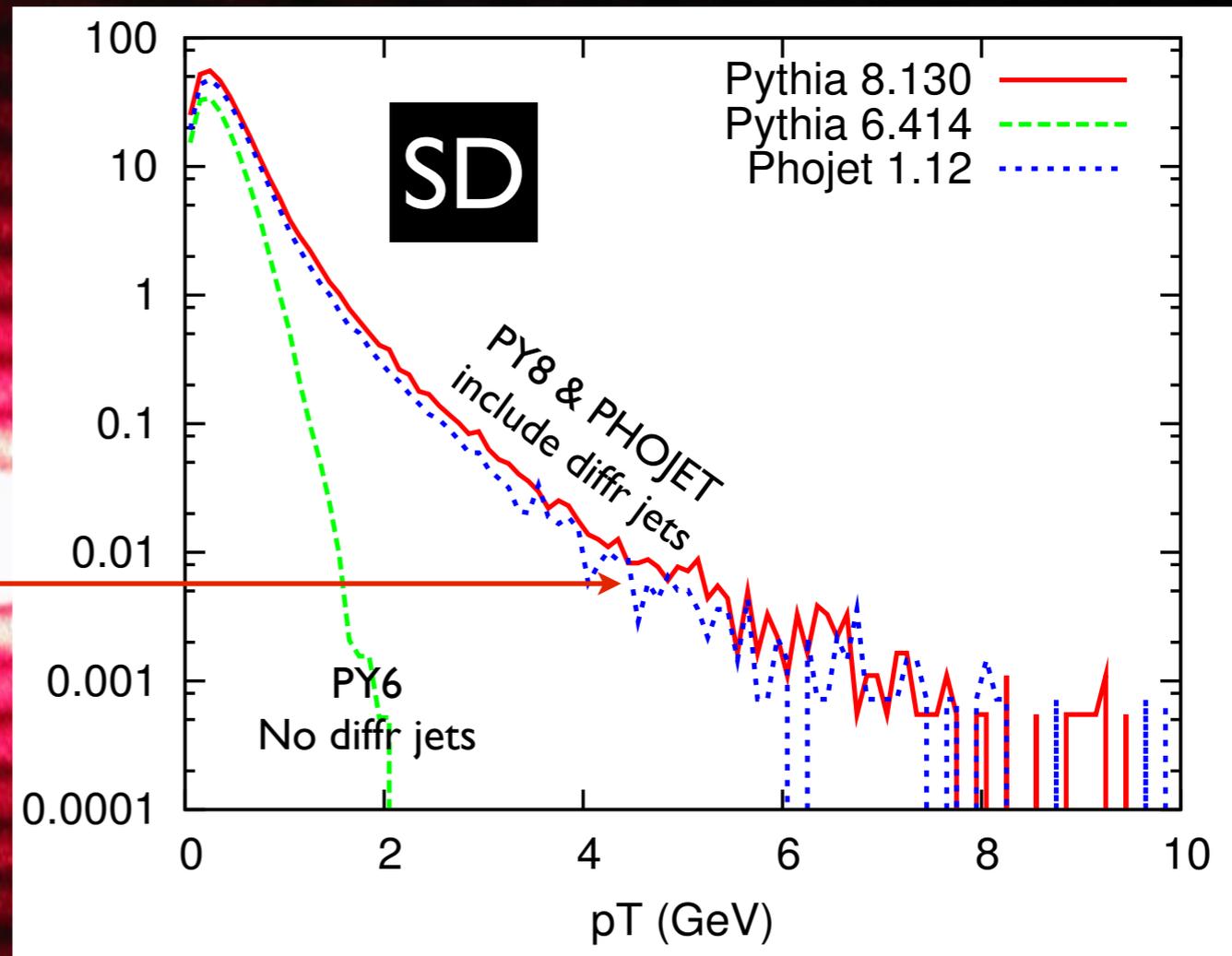
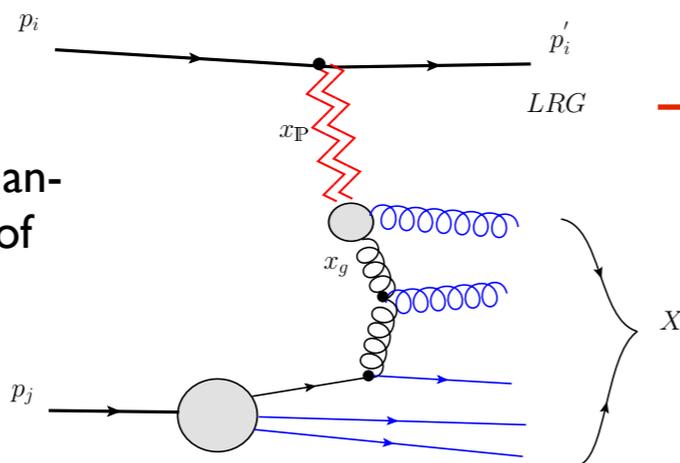
## Diffraction Cross Section Formulae:

$$\frac{d\sigma_{sd}(AX)(s)}{dt dM^2} = \frac{g_{3IP}}{16\pi} \beta_{AIP}^2 \beta_{BIP} \frac{1}{M^2} \exp(B_{sd}(AX)t) F_{sd},$$

$$\frac{d\sigma_{dd}(s)}{dt dM_1^2 dM_2^2} = \frac{g_{3IP}^2}{16\pi} \beta_{AIP} \beta_{BIP} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{dd}t) F_{dd}.$$

## Partonic Substructure in Pomeron:

Follows the Ingelman-Schlein approach of Pompyt



- ▶  $M_X \leq 10 \text{ GeV}$ : original longitudinal string description used
- ▶  $M_X > 10 \text{ GeV}$ : new perturbative description used (incl full MPI+showers for  $Pp$  system)

PYTHIA 8

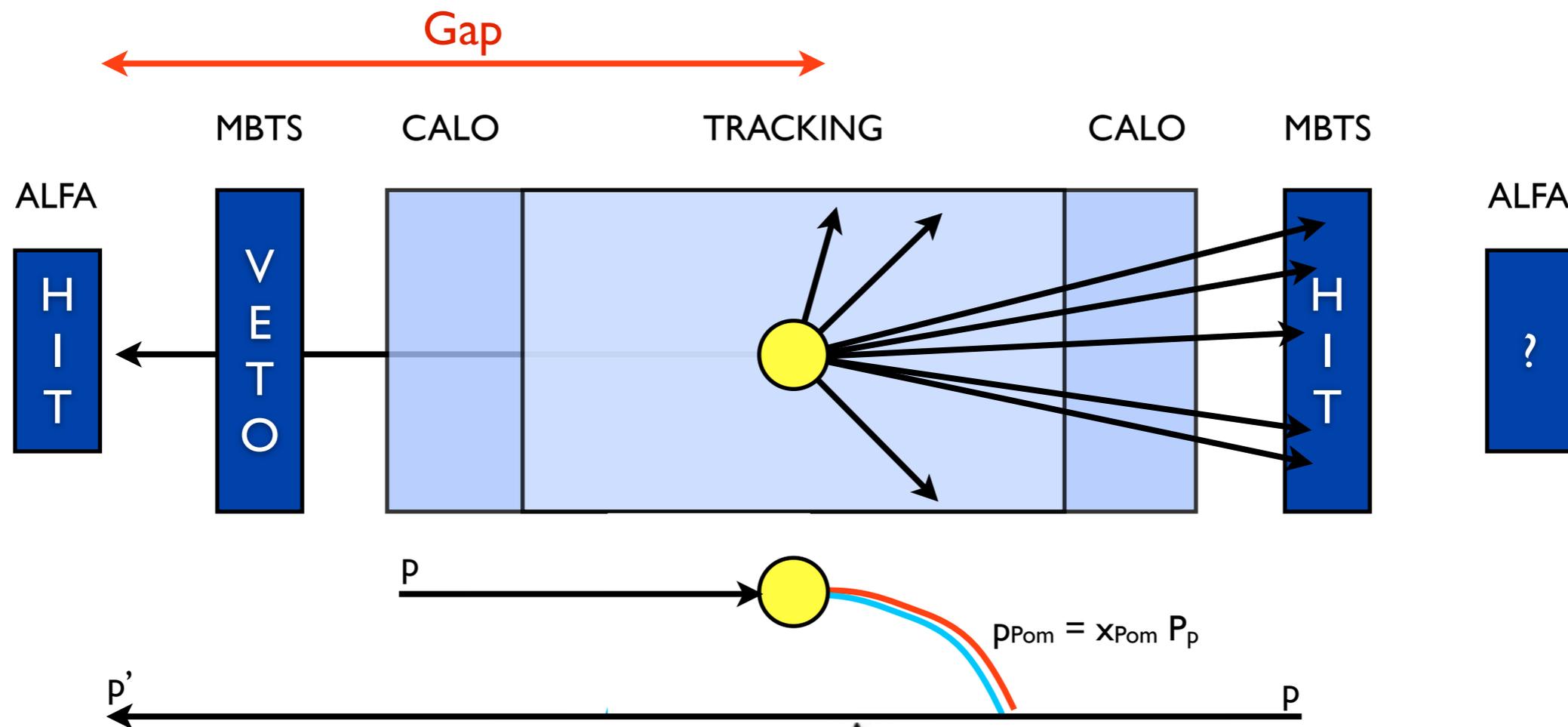
Choice between 5 Pomeron PDFs. Free parameter  $\sigma_{Pp}$  needed to fix  $\langle n_{interactions} \rangle = \sigma_{jet}/\sigma_{Pp}$ .

+ Recently Central Diffraction!

Framework needs testing and tuning, e.g. of  $\sigma_{Pp}$ .

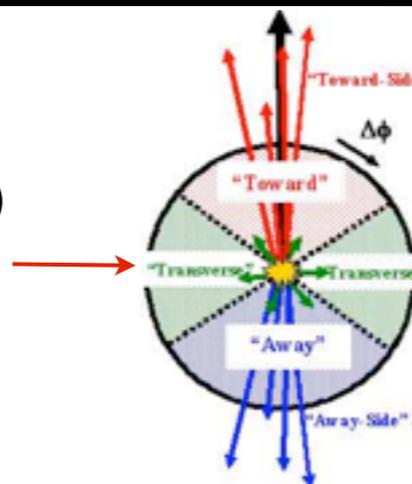
# (Some) Opportunities with ALFA + ATLAS

## Single Diffraction



### SD DIJETS

- \* Mass Spectrum (how far can you go?)
- \* Underlying Event in SD DIJET events
- \* Dijet Decorrelation  $\Delta\phi_{jj}$
- \* SD FOUR JETS (MPI in diffraction!)

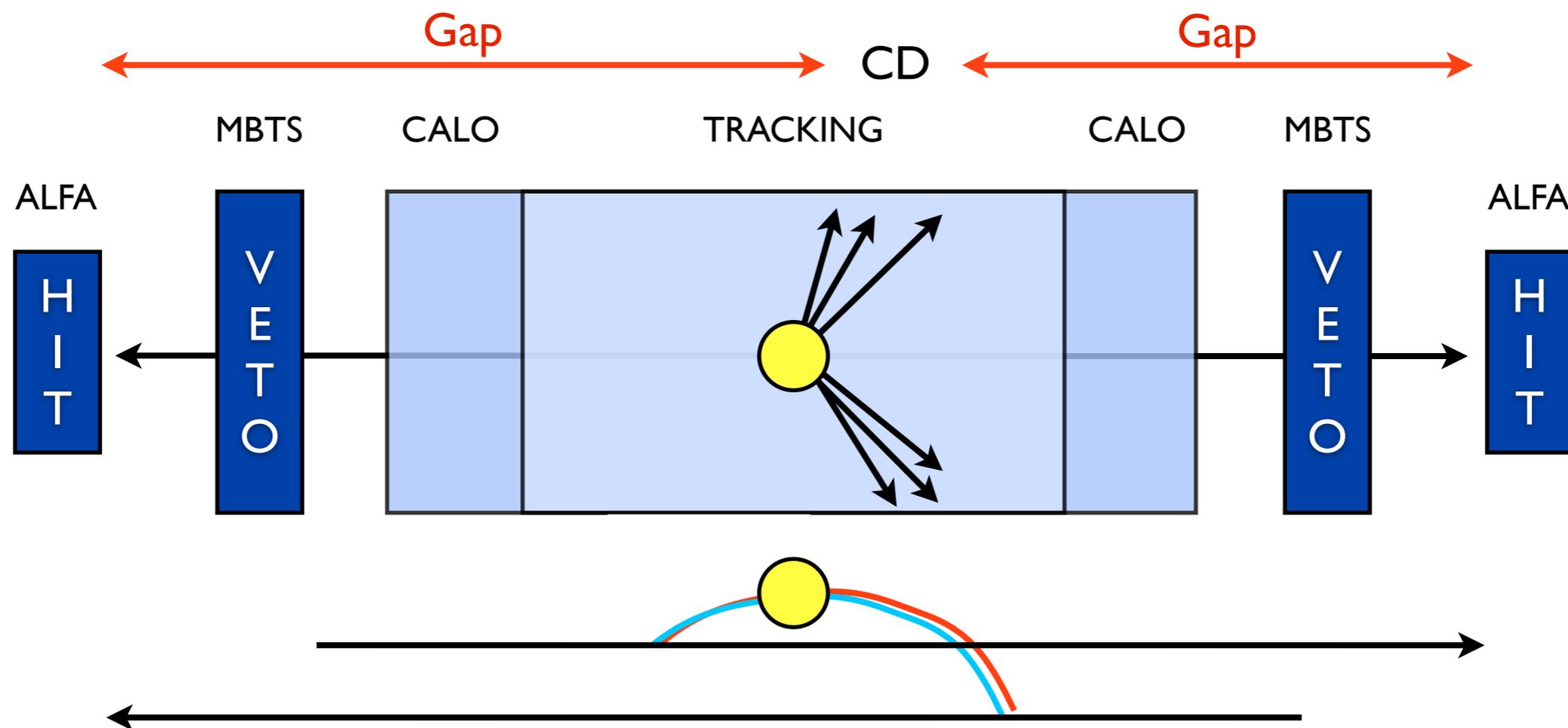


### SD: Identified Particles

- \*  $\Lambda$  and  $K_s$
- \* Other identified particles?
- \* Compare to minimum bias

# (Some) Opportunities with ALFA + ATLAS

## Central Diffraction

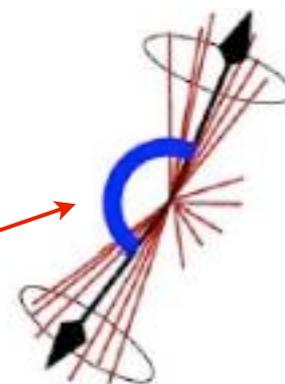


### CD

- \* Mass Spectrum (how far can you go?)
- \*  $Mass^2 = x_{Pom1} x_{Pom2} S$
- \* Rapidity of system  $\rightarrow x_{Pom1} / x_{Pom2}$

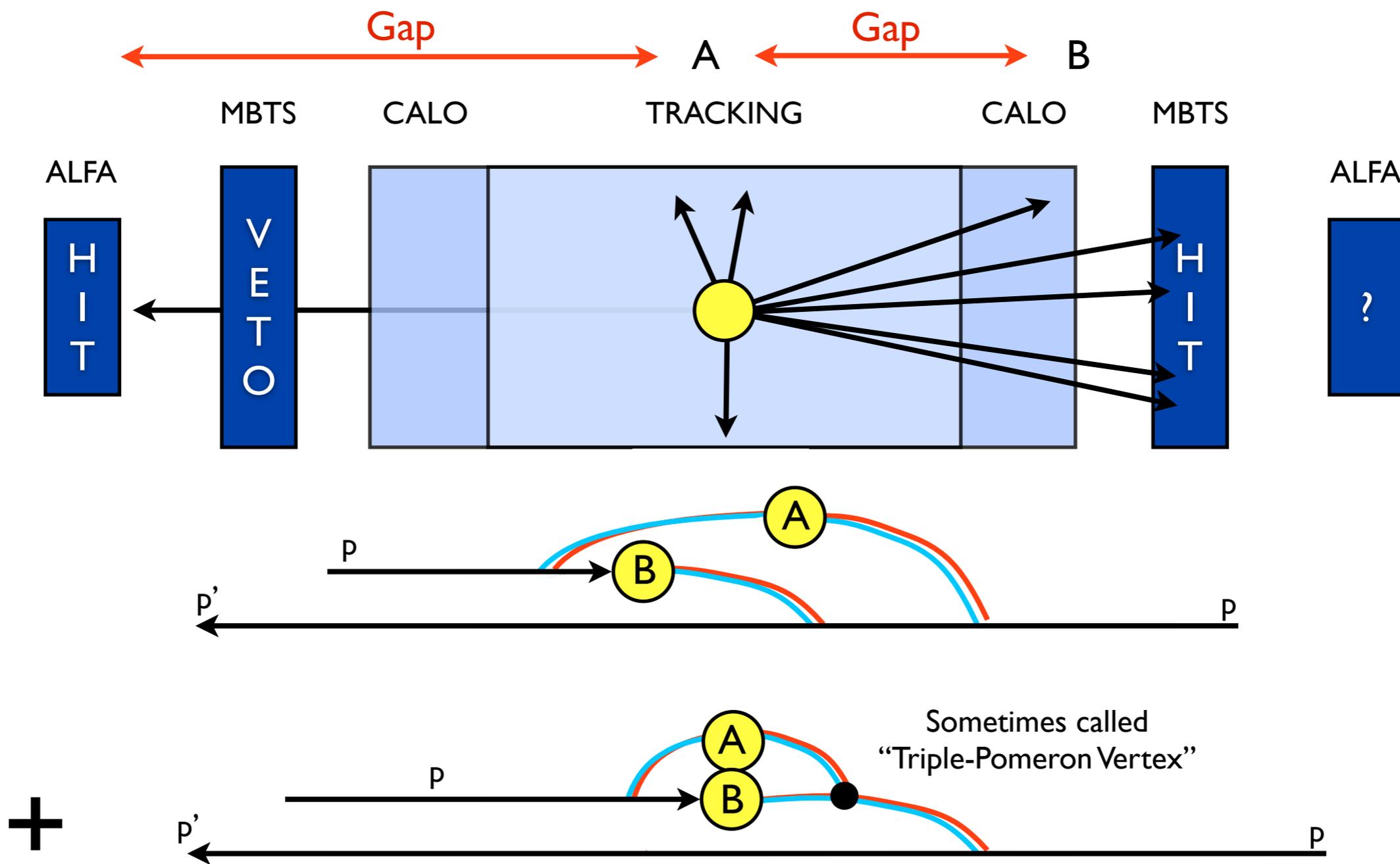
### CD JETS

- \* Underlying Event
- \* Dijet Decorrelation,  $\Delta\varphi_{jj}$



# (Some) Opportunities with ALFA + ATLAS

## Multi-Gap Diffraction (= Subset of Single-Gap)



# Summary

## Monte Carlo Event Generators

### Aim to describe complete event structure

The MPI that produce the underlying event (UE) in the **central** region also disturb the beam remnant in the **forward** region

### → correlations between central and fwd fragmentation

Current MC constraints sum inclusively over FWD region → blind spot

If there are **big elephants** there, the central constraints would need to be thoroughly re-evaluated

### Diffraction

Is not a big elephant for the UE or central physics program (mainly non-diff)

But important for fwd physics + all MCs in active development (*Hard diffraction model in Pythia 8, POMWIG-type model in Herwig++, KMR model in Sherpa*) → need good

constraints: → study both diff-enhanced and diff-suppressed triggered samples